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The El Niño Southern Oscillation in the Pliocene: Modelling water isotopes and implications for data interpretation.

Abstract

TO REWRITE Model simulations using the isotope enabled version of the Hadley Centre GCM (HadCM3) are used to evaluate where the El Niño Southern Oscillation (ENSO) could be detected in $\delta^{18}\text{O}$ from archives of Pliocene age. Results suggest that pristine Pliocene corals would usually have similar skill in the Pliocene and the Preindustrial, however regions with a strong El Niño precipitation signal would be better represented in Pliocene aged data. In general, ENSO can be better detected in individual Planktonic foraminifera data of Pliocene age than of pre-industrial age, since the signal to noise ratio is larger. However, spurious results can arise when a data site is close to a large spatial gradient in climate. One case study found that modelled foraminifera data from the Eastern Pacific could be used to accurately detect El Niño in the preindustrial, but not in the Pliocene, due to changes in the variability of $\delta^{18}\text{O}_{sw}$ and shifts in the upwelling zones between the two climates. This study shows that testing a method of proxy interpretation on modern data is not sufficient indication that the method is valid for the Pliocene. It also highlights that the location of data sites should be chosen with extreme care in order to avoid unreliable results.

1 Introduction

The El Niño Southern Oscillation (ENSO) is the strongest signal of interannual variability in the ocean-atmosphere system (*Wang et al.*, 1999). Greater predictability of ENSO leads to greater predictability of climate extremes such as floods and droughts (*Goddard and Dille*, 2005) and potentially the associated socioeconomic impacts. However there has been some disagreement between models as to how ENSO will change in a warming climate (*Latif and Keenlyside*, 2009, *Collins et al.*, 2010), and the future behaviour of ENSO is still uncertain.

One way to examine ENSO in a warmer than modern climate, is to look to warmer climates of the past. A period which has received much attention is the mid-Pliocene Warm Period (mPWP). This occurred 3.264-3.025Ma and represents a relatively familiar world with continental configuration similar to modern and CO_2 levels close to the current value of 400ppmv (*Stap et al.*, 2016, *Seki et al.*, 2010). However unlike the constantly warming climate that exists today, the mPWP was warm and stable. It had global annual mean sea surface temperatures 2-3°C higher than pre-industrial (*Dowsett et al.*, 2010, *Haywood et al.*, 2000) and polar ice reduced by up to 1/3 (*Dolan et al.*, 2011).

The behaviour of ENSO in the mPWP is subject to a great deal of debate and uncertainty. However, unlike future ENSO uncertainties, there are datasets from the mPWP on which this debate can be based. Many studies have argued for protracted Pliocene El Niño conditions (referred to as a ‘permanent El Niño’) (e.g. *Molnar and Cane*, 2002, *Philander and Fedorov*, 2003, *Fedorov et al.*, 2006). This has been based on a reduced east-west temperature gradient across the Pacific (e.g. *Wara et al.*, 2005), lower productivity/reduced upwelling in the eastern equatorial Pacific (*Seki et al.*, 2012), or climate patterns consistent with modern El Niño teleconnections (*Winnick et al.*, 2013).

The reduced east-west temperature gradient across the Pacific (*Wara et al.*, 2005), relies on

47 proxy data which suggests that the eastern equatorial Pacific (EEP) was warmer than today
48 while the western equatorial Pacific (WEP) was not. However, *Zhang et al.* (2014a) used dif-
49 ferent proxies from the same WEP site which suggested that the WEP was also warmer than
50 today and a similar E-W temperature gradient existed. Whether or not an E-W temperature
51 gradient existed in the Pliocene is still not resolved (*Ravelo et al.*, 2014, *Zhang et al.*, 2014b).

52

53 Studies which support suggestions of a permanent El Niño are not consistent in the dataing.
54 *Steph et al.* (2010) suggested that the shallow thermocline (which has been associated with
55 protracted El Niño) occurred earlier than previously suggested. It is likely that at least some
56 of the Pliocene experienced ENSO variability similar to today, as *Watanabe et al.* (2011) found
57 clear ENSO variability in two fossil corals from the Western Pacific, which were dated to ap-
58 proximately 3.5-3.8Ma. The fossil corals were analysed based on 12 samples per year for 35
59 years and so variability can be clearly measured. In addition *Scroxton et al.* (2011) found ENSO
60 variability when considering measurements on individual planktonic foraminifera.

61

62 Modelling studies generally agree that there was ENSO related variability in the Pliocene (*Hay-*
63 *wood et al.*, 2007, *Bonham et al.*, 2009, *von der Heydt et al.*, 2011, *Zhang et al.*, 2012, *Brier-*
64 *ley*, 2015). However simulations from complex atmosphere-ocean general circulation models
65 (AOGCM's) cannot apply to the whole Pliocene, and can only suggest ENSO variability for a
66 shorter time period within the Pliocene. The time period used has generally been representative
67 of the mPWP (3.264-3.025Ma), however *Haywood et al.* (2013) has argued that this is too
68 broad for climate modelling purposes and a focus on an even shorter timeslice would be more
69 appropriate.

70

71 In the same way that models do not agree on how ENSO behaviour will change in the future,
72 neither do they fully agree on how ENSO was different in the Pliocene. However, models do ap-
73 pear to share some common features in their retrodiction of ENSO behaviour. *Brierley* (2015)
74 considered 9 models used in the Pliocene Intermodel Comparison Project (PlioMIP), none of
75 the models showed a 'permanent' El Niño, and there was a general consensus that there was
76 less ENSO related variability with a shift to lower frequencies and reduced amplitude in the
77 Pliocene. However *Tindall et al.* (2016) found that intra-model variability could exist within a
78 single simulation, and suggested that there was likely to be centennial scale variability in ENSO
79 strength for the Pliocene in the same way that there is for the modern (*Wittenberg*, 2009, *Li*
80 *et al.*, 2011). Following a 2500 year spinup *Tindall et al.* (2016) found an increased amplitude
81 of El Niño, even though there were shorter subsets of the simulation (200 years) in which
82 the amplitude appeared to be reduced. It was however found that the intramodel variability
83 was particularly limited to temperature in the Eastern Pacific, and that the centennial scale
84 variability was less important for precipitation or temperature in the central or western Pacific.

85

86 Overall there are still substantial uncertainties in the behaviour of Pliocene ENSO, and reduc-
87 ing these uncertainties could lead to a better understanding of ENSO in a warm climate. The
88 uncertainties exist in both model and data, and it is difficult to compare the two due to the
89 very different nature of what each can derive. GCM's provide climate indicators at a global
90 scale for a relatively short timescale (e.g 3.205Ma, *Haywood et al.*, 2013), while data is gathered
91 from a very limited number of locations over a very long timescale (e.g. 'A 12 million-year

102 temperature history...’ *Zhang et al.*, 2012). In addition the derived quantities are not always di-
103 rectly comparable. For example the temperature and precipitation that is output from climate
104 models is not directly measured in paleoarchives and is instead inferred from other quantities
105 (e.g. magnesium calcium ratios, the alkenone unsaturation index, TEX₈₆ or the ratio of water
106 isotopes). To better compare model and data it is necessary to either convert data measure-
107 ments into quantities measured by the model using a transfer function (e.g *Erez and Luz*, 1983,
108 *Dekens et al.*, 2002), or alternatively for the model to directly simulate the quantity measured
109 in the archive. Recent years have seen a large increase in the number of models able to simulate
110 one such measured quantity, namely stable water isotope tracers (e.g. *Lee et al.*, 2007, *Roche*,
111 2013, *Haese et al.*, 2013, *Dee et al.*, 2015). This can be used to better compare model and $\delta^{18}\text{O}$
112 measured in paleoarchives (e.g *Tindall et al.*, 2010, *Roberts et al.*, 2011, *Holmes et al.*, 2016).
113 For the mPWP, the Hadley Centre GCM, HadCM3, has been run with water isotope tracers
114 included, to increase synergy between model and data (*Tindall and Haywood*, 2015), however
115 so far only the global large scale features have been discussed.

116
117 Here we will use the water isotope enabled version of HadCM3 to investigate ENSO based on
118 observed and simulated $\delta^{18}\text{O}$ in the Pacific ocean. The aims are: 1. to compare model results
119 with existing proxy data to investigate the accuracy of ENSO signals in the data, and 2. to
120 directly simulate proxy measurements throughout the Pacific and highlight regions where proxy
121 data of Pliocene age could provide a good representation of ENSO. For necessity we will limit
122 model-data comparison to archives which contain $\delta^{18}\text{O}$ measurements and will also concentrate
123 mainly on data with high temporal resolution, such as the coral data of *Watanabe et al.* (2011)
124 and the individual planktonic foraminifera analysis of *Scroxton et al.* (2011). This is because
125 GCM’s are run at very high temporal resolution and such a comparison allows a more compre-
126 hensive data-model comparison which is not just based on one single datapoint in time.

127
128 In Section 2 we will describe the model and simulations used to simulate $\delta^{18}\text{O}$ for the Pliocene
129 climate. Section 3 will discuss El Niño Southern Oscillation (ENSO) climate modes and show
130 how these could appear in Pliocene climate, $\delta^{18}\text{O}$ fields. Sections 4 and 5 will use the model
131 results to reinterpret proxy data from corals and planktonic foraminifera respectively. In par-
132 ticular we will be assessing what information about ENSO can be derived from these archives,
133 and locations where these archives would give the most reliable indication of El Niño behaviour.
A discussion of the results and the conclusions are presented in section 6.

126 2 Methods

127 2.1 Model description

128 The model used in this study is the Hadley Centre General Circulation Model (HadCM3;
129 *Gordon et al.*, 2000, *Pope et al.*, 2000) with water isotope tracers included throughout the
130 hydrological cycle (*Tindall et al.*, 2009). HadCM3 has resolution of $3.75^\circ \times 2.5^\circ$ with 19 verti-
131 cal levels in the atmosphere, and $1.25^\circ \times 1.25^\circ$ with 20 vertical levels in the ocean. HadCM3
132 uses the *Gregory and Rowntree* (1990) convection scheme, a large scale cloud scheme based on
133 *Smith* (1990) with modifications described by *Gregory and Morris* (1996), and the *Edwards and*

134 *Slingo* (1996) radiation scheme. In the ocean HadCM3 comprises a simple sea ice model, which
135 is based on the zero-layer model of *Semtner* (1976) (and includes ice drifts, leads and snow
136 cover). The version of the HadCM3 used here comprises the MOSES2 land surface exchange
137 scheme which includes the TRIFFID dynamic vegetation model (*Cox et al.*, 1999) such that
138 the vegetation is predicted by the model rather than prescribed.

139

140 HadCM3 has been used in a number of studies of the mPWP (e.g. *Hill*, 2015, *Pound et al.*,
141 2014, *Dolan et al.*, 2011) and in particular has been run as part of the Pliocene Model Inter-
142 comparison Project (PlioMIP; *Bragg et al.*, 2012, *Haywood et al.*, 2013). HadCM3 is generally
143 in good agreement with reconstructions although it underpredicts the Pliocene warming over
144 the North Atlantic region (*Prescott et al.*, 2014) and the northern hemisphere high latitude
145 terrestrial warming (*Salzmann et al.*, 2013).

146

147 The water isotope component of HadCM3 has been shown to provide a good representation
148 of the $\delta^{18}\text{O}$ of seawater ($\delta^{18}\text{O}_{sw}$) and the $\delta^{18}\text{O}$ of precipitation ($\delta^{18}\text{O}_p$) for the pre-industrial
149 climate (*Tindall et al.*, 2009), and has recently been used to investigate the large scale features
150 of $\delta^{18}\text{O}_{sw}$ and $\delta^{18}\text{O}_p$ for the mPWP (*Tindall and Haywood*, 2015). HadCM3 suggests that over
151 many regions, the long term average, mPWP $\delta^{18}\text{O}_{sw}$ was similar to that predicted by correcting
152 preindustrial $\delta^{18}\text{O}_{sw}$ values by Pliocene ice volume. The more intensive hydrological cycle led
153 to regional $\delta^{18}\text{O}_{sw}$ anomalies from an ice volume correction over coastal regions, the south At-
154 lantic and the Arctic, however throughout the equatorial Pacific an ice volume correction was
155 mainly sufficient for estimating Pliocene $\delta^{18}\text{O}_{sw}$.

156

157 HadCM3 simulates a present day ENSO with amplitude and frequency broadly in agreement
158 with observations, and its skill compares well with other CMIP3 and CMIP5 models (*Bellenger
159 et al.*, 2014). *Tindall et al.* (2009) considered the pre-industrial El Niño signature in HadCM3
160 for precipitation amount, $\delta^{18}\text{O}_p$ and $\delta^{18}\text{O}_{sw}$. Although over much of the tropics precipitation
161 anomalies compare well with observations (*Dai and Wigley*, 2000, *AchutaRao and Sperber*,
162 2002) the model fails to simulate the full extent of the dry conditions of El Niño that occur in
163 the western Pacific warm pool, which leads to errors in the extent of $\delta^{18}\text{O}_p$ and $\delta^{18}\text{O}_{sw}$ in this
164 region. In the location of the western Pacific warm pool, in particular, extreme care must be
165 taken when using HadCM3 results to interpret paleodata from a fixed location (or paleoproxy
166 site), and while the model is indicative of what an El Niño $\delta^{18}\text{O}_{sw}$ signal would look like in a
167 dry or wet region, spatial results will only apply in a broad sense.

168

169 2.2 Experimental Design

170 The HadCM3 experiments with $\delta^{18}\text{O}$ tracers analysed here were previously used by *Tindall and
171 Haywood* (2015), and include a mPWP experiment and a pre-industrial control. Both simu-
172 lations were run for 2500 years and were initialised from a preindustrial experiment that had
173 been run for several millenia with $\delta^{18}\text{O}$ tracers. The boundary conditions for the mPWP exper-
174 iment are from the Pliocene research, Interpretation and Synoptic Mapping project (PRISM
175 *Dowsett et al.*, 1994), with ice sheets, orography and initial vegetation parameters from the
176 PRISM3D version (*Dowsett et al.*, 2010) which was used for PlioMIP. Orbital parameters are

177 set to 3.205Ma (as suggested by *Haywood et al.*, 2013) and CO₂ levels are set to 405ppmv. Since
178 mPWP $\delta^{18}\text{O}_{sw}$ was initialised, unchanged, from the end of a long pre-industrial simulation it
179 is necessary to reduce this value at a postprocessing stage to account for the reduced Pliocene
180 ice sheets. Following *Tindall and Haywood* (2015) $\delta^{18}\text{O}_{sw}$ is reduced by 0.3‰ corresponding to
181 the ice sheet reduction of 1/3 that was included in the simulation.

182

183 El Niño and La Niña months were detected in these simulations based on the Oceanic Niño
184 Index (ONI), which is used by NOAA’s Climate Prediction Center. The ONI is the three
185 month running mean SST anomaly in the NINO3.4 region; when the ONI exceeds a threshold
186 of +0.5°C for at least 5 consecutive months it is categorised as El Niño, when the ONI is below
187 -0.5°C for at least 5 consecutive months it is categorised as La Niña. Months that are neither
188 El Niño or La Niña are categorised as ‘neutral’. All El Niño/La Niña/neutral months are then
189 combined into El Niño/La Niña/neutral composites, after weighting each month’s contribution
190 such that each composite included the same amount of information from each calendar month.
191 This paper will mainly consider El Niño and La Niña in the final 300 years (years 2200-2500)
192 of the simulations. This is where the simulations are closest to a fully spun-up state and these
193 years are representative of the majority of the simulations.

194

195 **3 The El Niño Southern Oscillation in the Pliocene**

196 Using the definition based on the ONI (see methods), the temperature, precipitation $\delta^{18}\text{O}_p$
197 and $\delta^{18}\text{O}_{sw}$ anomalies for El Niño minus neutral conditions were produced. These are shown
198 in figure 1 for the preindustrial (left), the Pliocene (centre) and the difference between them
199 (right). It is seen that for all fields considered the HadCM3 El Niño anomaly is stronger in
200 the Pliocene than in the pre-industrial. Relative to the pre-industrial the magnitude of the
201 Pliocene El Niño anomaly is approximately 28% larger for temperature, 32% larger for precip-
202 itation, 29% larger for $\delta^{18}\text{O}_p$ and 37% larger for $\delta^{18}\text{O}_{sw}$, although there is spatial variability
203 in these numbers (particularly for temperature). This suggests that, unless the amplitude of
204 non-ENSO climate signals has similarly increased, the signal to noise ratio of ENSO events
205 in the Pliocene was larger than preindustrial and may be more easily detectable in Pliocene
206 aged proxy data. However *Tindall et al.* (2016) showed that there is centennial scale variability
207 in the strength of ENSO, both in the preindustrial and the Pliocene, such that the generally
208 increased ENSO strength in the Pliocene is not ubiquitous. In particular intra-model variability
209 means that ENSO was not consistently stronger in the Pliocene in the NINO3.4 region or the
210 Eastern part of the Pacific. However temperature signals in the western half of the Pacific and
211 precipitation signals (following through to $\delta^{18}\text{O}_p$ and $\delta^{18}\text{O}_{sw}$) signals were consistently stronger
212 in the Pliocene simulation.

213

214 In the next sections we will discuss how the El Niño temperature and $\delta^{18}\text{O}_{sw}$ signals, seen in
215 HadCM3 would combine within a proxy archive and what information about ENSO we would
216 be able to gather from that archive. In particular we discuss whether the ability to detect ENSO
217 in $\delta^{18}\text{O}$ measured in a Pliocene aged archive is likely to be different from the Preindustrial.

218

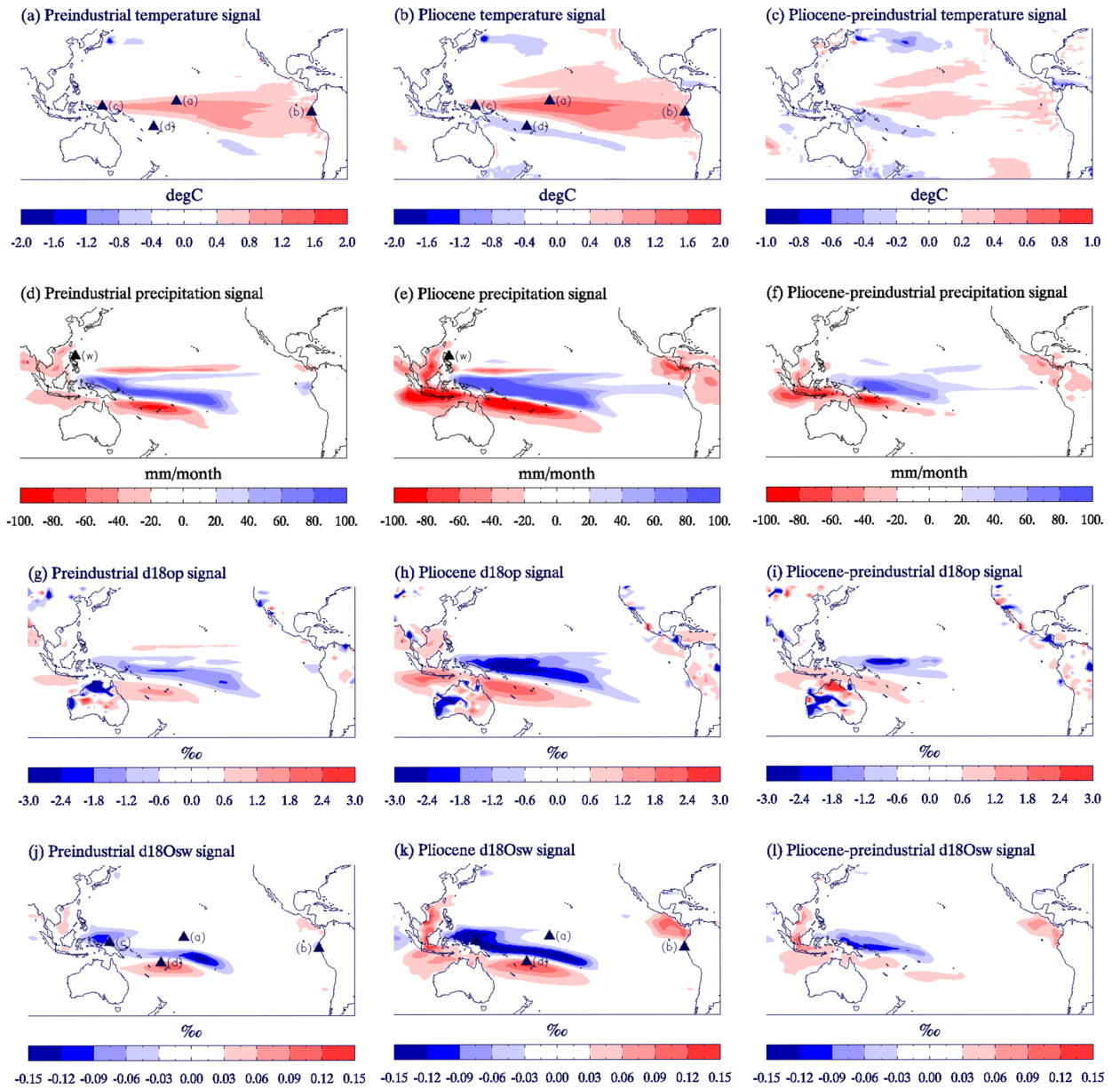


Figure 1: pre-industrial (left) and Pliocene (centre) anomalies between El Niño and neutral climate states. The difference between the Pliocene El Niño anomalies and the Preindustrial anomalies (centre figures minus left figures) are also shown (right).

219 4 Comparison to coral data

220 To assess El Niño, it is beneficial to have climate proxy data of high temporal resolution. The
221 coral data of *Watanabe et al.* (2011) (extracted from two 35 year corals in the Philippines) has
222 monthly resolution - which is the highest available for the Pliocene. A spectral analysis of the
223 $\delta^{18}\text{O}$ of these corals showed spectral peaks that correspond to present day ENSO variability.
224 In addition $\delta^{18}\text{O}$ from a nearby, live, coral correlated well with modern records of ENSO and
225 negative $\delta^{18}\text{O}$ events in the fossil coral resemble negative $\delta^{18}\text{O}$ events in the live coral. The
226 evidence from these corals suggest Pliocene ENSO variability similar to modern.

227
228 In theory, this coral data is ideal for validating the HadCM3 Pliocene isotope simulations and
229 also for combining model and data to better understand Pliocene El Niño. However these corals
230 are from a region of the Western Pacific where HadCM3 fails to reproduce the dry conditions
231 associated with El Niño, either for the Pliocene or the pre-industrial (see (w) on figure 1d and
232 e). This means that a site to model gridbox data-model comparison of these corals would be un-
233 able to provide information about the modelled ENSO, nor could it help the model to interpret
234 ENSO signatures in the data. However the coral data can still be used to validate the annual
235 average and non-ENSO (e.g. seasonal) related variability of $\delta^{18}\text{O}_C$ simulated by the model. To
236 understand what information about ENSO could be determined from coral in a region with a
237 ‘dry’ El Niño signal an alternative region of the Pacific where El Niño precipitation patterns
238 are better represented by the model will later be discussed.

239
240 Figure 2 compares the coral data of *Watanabe et al.* (2011), to HadCM3 pseudocoral $\delta^{18}\text{O}_c$
241 produced from the nearest gridbox (14.375°N, 124°E). The pseudocoral $\delta^{18}\text{O}_c$ is produced by
242 combining modelled temperature with modelled $\delta^{18}\text{O}_{sw}$ according to the equation of *Juillet-*
243 *Leclerc and Schmidt* (2001) which is:

$$T = 2.25 - 5(\delta^{18}\text{O}_c - \delta^{18}\text{O}_{sw}) \quad (1)$$

244
245
246 Even though the spectral peak at ENSO frequencies that occurs in the coral data is absent
247 from our pseudocoral $\delta^{18}\text{O}_c$ (not shown), there appears to be excellent model-data agreement
248 on other timescales at this location. Both the mean and annual variability in $\delta^{18}\text{O}$ agree well
249 between the modelled coral and the observed coral, and the magnitude of the interannual vari-
250 ability (obtained after removing the annual cycle) is reproduced by the pseudo coral. The
251 general agreement between the *Watanabe et al.* (2011) coral and HadCM3 (figure 2) suggests
252 that the model is able to provide a good representation of coral data of Pliocene age.

253
254 In order to use HadCM3 for interpreting coral ENSO signals we now derive HadCM3 pseudo-
255 corals from other locations, where the model better represents El Niño. These locations are
256 shown on figure 1 (a, b, j and k) and are: two locations where there is a large temperature sig-
257 nal associated with ENSO (a) in the central Pacific (0°N, 190°E) and b) in the Eastern Pacific
258 (7°S, 81°W); c) a gridbox in the Western Pacific (3°S, 141°E) which has increased precipitation
259 (and hence a negative $\delta^{18}\text{O}_{sw}$ excursion) in El Niño years and d) a gridbox (16S°N, 175°E)

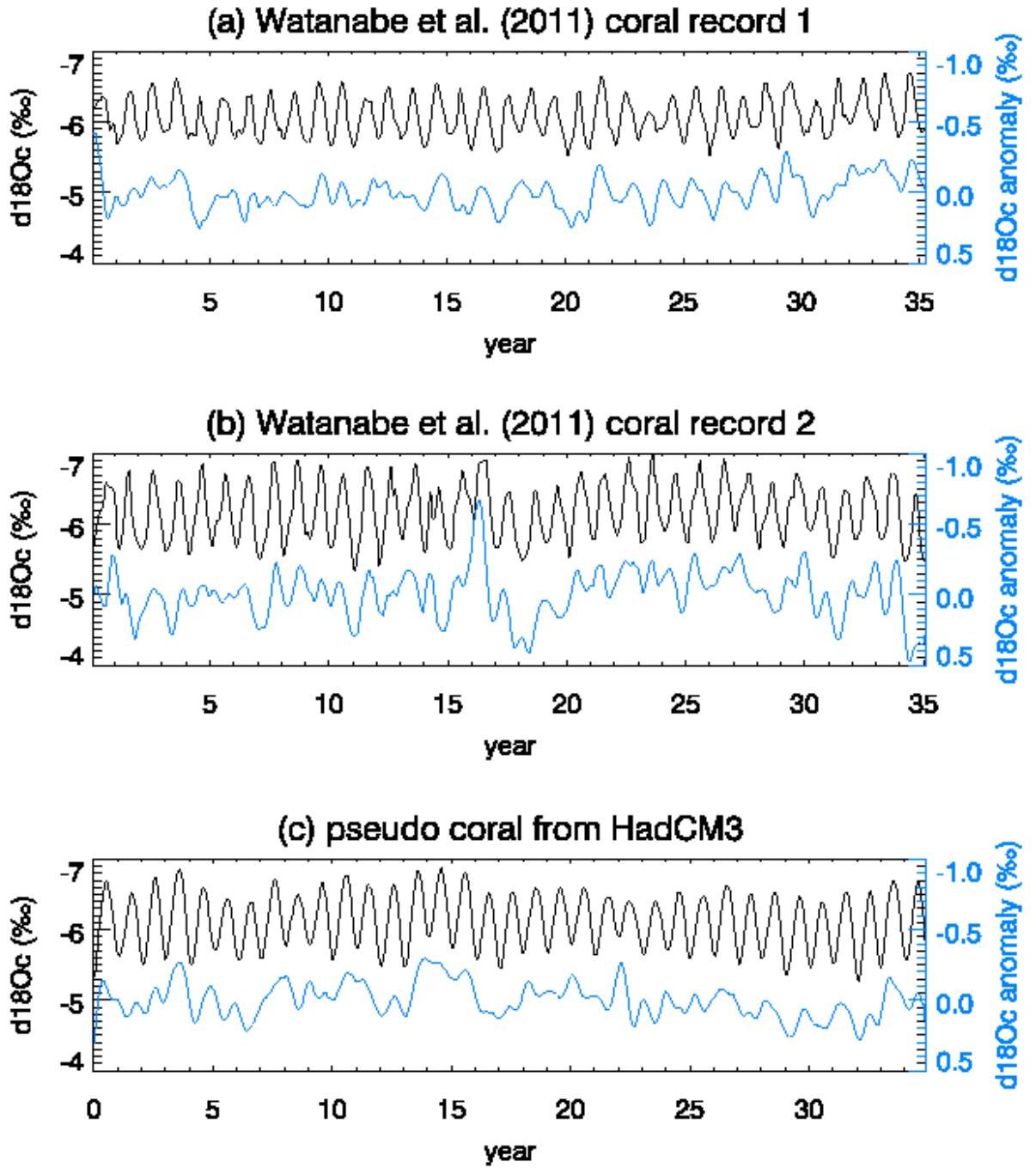


Figure 2: Coral data from *Watanabe et al.* (2011) and pseudo coral $\delta^{18}O_c$ obtained from HadCM3. Black line show absolute values and blue line show the anomaly obtained after removing the annual cycle and taking a 5 month running mean.

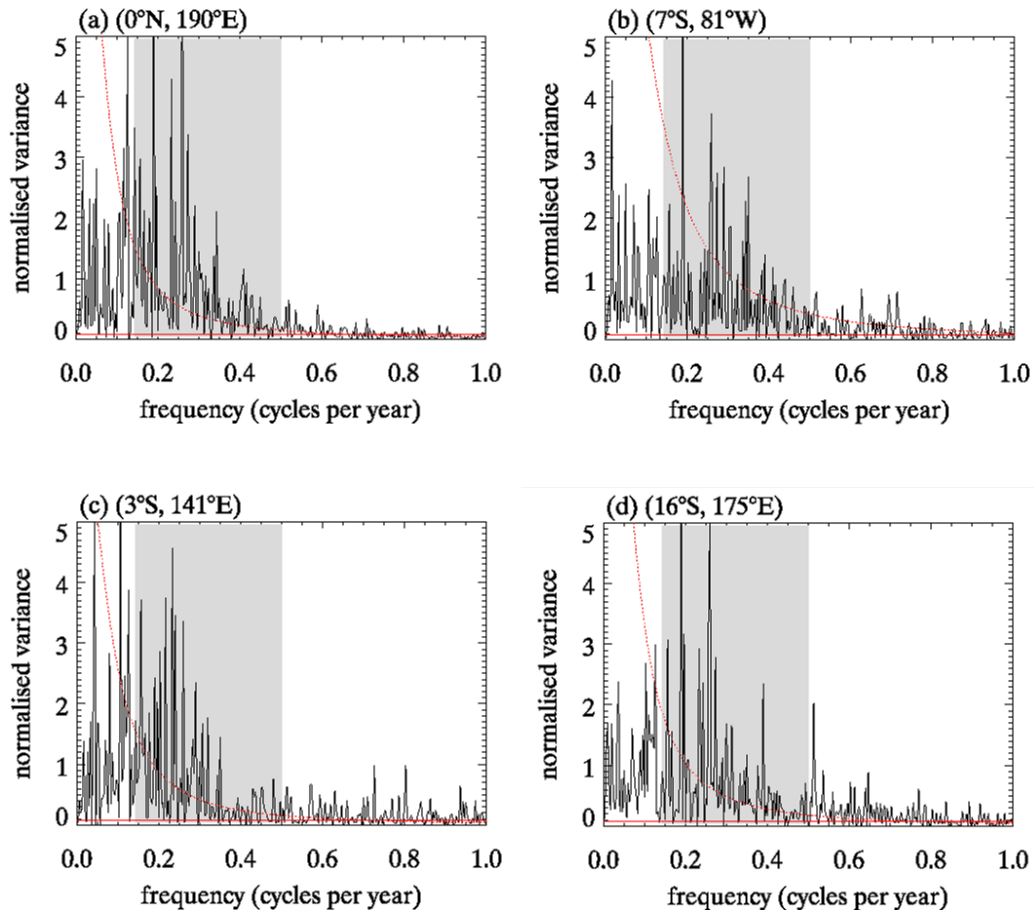


Figure 3: Power spectral analysis of a modelled coral from 4 sites, marked on figure 1. The shaded band highlights frequencies corresponding to the expected 2-7year period of ENSO. The red lines show the variance that could be attributed to red and white noise.

260 which has reduced precipitation in El Niño years. At each of these locations pseudocoral $\delta^{18}\text{O}_c$
 261 is produced using equation 1.

262

263 Figure 3 shows the power spectrum of $\delta^{18}\text{O}_c$ from a 300 year pseudocoral $\delta^{18}\text{O}_c$ at these loca-
 264 tions. The shaded bars show frequencies representing the 2-7 year period of expected ENSO
 265 variability, and the red lines show red and white noise with the same variance as the pseudo-
 266 coral data. Since the pseudo-corals lie in ENSO regions they all exhibit spectral peaks at ENSO
 267 frequencies. However, the spectral peaks are not the same in every pseudo-coral, which implies
 268 that the skill of each pseudocoral in detecting ENSO signals will be different. Of these pseudo-
 269 corals the strongest spectral peaks in $\delta^{18}\text{O}_c$ relative to the background lie in the central pacific
 270 (figure 3a), while the weakest spectral peaks are in the Eastern Pacific, due to more variability
 271 at non-ENSO frequencies. Spectral peaks in the precipitation regions (c and d) are of a similar
 272 magnitude).

273

274 We now consider whether true El Niño and La Niña events can be detected from any of these
 275 pseudo corals, and the likely accuracy in detection. For each of the four sites we show the

276 pseudocoral $\delta^{18}\text{O}_c$ after removal of the average annual cycle (black line figure 4). Pseudocoral
277 $\delta^{18}\text{O}_c$ was then used to infer El Niño and La Niña events based on the definition of *Leloup*
278 *et al.* (2008) as follows. Firstly a threshold t_{ENSO} is determined which corresponds to half of the
279 standard deviation of the pseudocoral $\delta^{18}\text{O}_c$. El Niño and La Niña are inferred if pseudocoral
280 $\delta^{18}\text{O}_c$ is more extreme than that threshold for at least 6 consecutive months. Times of the
281 simulation when the pseudo-coral infers El Niño and La Niña are shown as red bars (El Niño)
282 and blue bars (La Niña) that are plotted below the x-axis in figure 4. It can be seen that El
283 Niño and La Niña are more often suggested by the simulation at location d) than elsewhere,
284 while the pseudocoral at the central Pacific location (a) suggests less and generally shorter
285 duration El Niño. Despite a notable overlap the same ENSO state is not inferred from the
286 different pseudocorals.

287

288 A main advantage of using a climate model to simulate paleodata is that the model can simu-
289 late other climate features that occur simultaneously with the modelled paleodata. In this case
290 ‘true’ El Niño and La Niña determined from the ONI can be obtained. Times when the model
291 was in a ‘true’ state of El Niño and La Niña, are shown by the red and blue bars above the
292 x-axis on figure 4. This means that bands which occur above and below the x-axis represent
293 times when El Niño or La Niña has been correctly detected from the pseudocoral $\delta^{18}\text{O}_c$, bands
294 that occur only above the axis represent times that El Niño or La Niña occurred in the model
295 that could not be detected in the pseudocoral and bands that occur only below the x-axis
296 represent times that the pseudo coral falsely suggests an El Niño or La Niña event. It can be
297 seen that figure 4(a) which represents the central Pacific location is the point where El Niño
298 can be best detected, here all events within the 50 years shown are correctly detected and few
299 false events are inferred. At the other locations most of the El Niño/La Niña events are also
300 correctly detected within the pseudocoral data, however there are a large number of events
301 predicted that did not occur, particularly for the dry El Niño location (d).

302

303 The large number of false events seen on figure 4 questions whether the threshold chosen to infer
304 ENSO was too low. It is noted that the exact threshold is unknown, and will likely change de-
305 pending on the location of the pseudo-coral and the time period. While an alternative threshold
306 may have been more appropriate for some locations, we have chosen not to tune the threshold
307 value as such tuning would not be possible when interpreting paleodata. Also, the condition
308 that the extreme values must persist for 6 consecutive months reduces the importance of the
309 exact threshold chosen.

310

311 To extend the results from figure 4 we consider the number of El Niño and La Niña events that
312 can be detected in the final 300 years of the Pliocene simulation. This is shown in Table 1, and
313 supports the results in figure 4. Most of the El Niño and La Niña events within the 300 years
314 can be detected using this method (albeit with a number of false positives).

315

316 For comparison table 1 also shows the number of ENSO events that could be detected in the
317 corresponding pre-industrial simulation. It can be seen that overall a greater proportion of
318 El Niño and La Niña can be detected in the Pliocene pseudocorals than in corresponding pre-
319 industrial pseudocorals and the proportion of falsely predicted events is smaller in the Pliocene
320 than in the pre-industrial. The reason that El Niño events are easier to detect, from a single

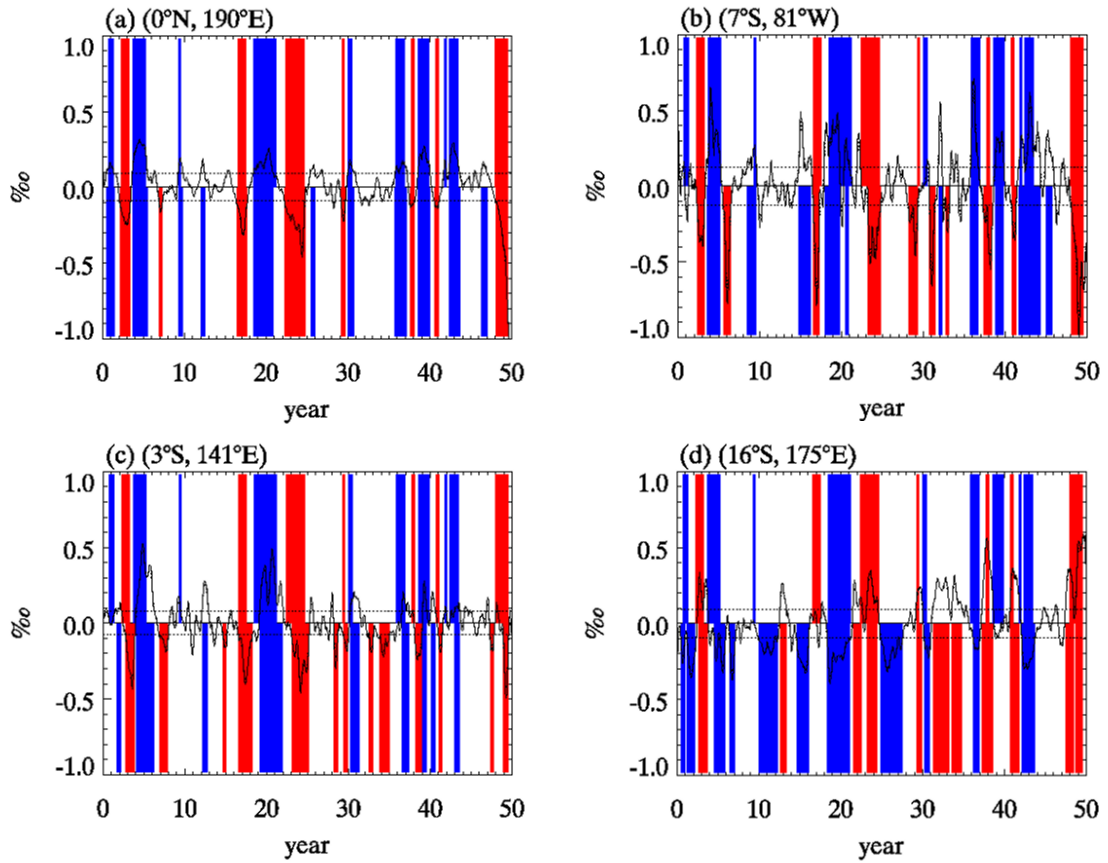


Figure 4: Modelled coral at 4 locations. Bands above the x-axis show times where El Niño (red) and La Niña (blue) were present in the simulation. Bands below the axis show times when El Niño (red) and La Niña (blue) were inferred from the pseudo coral at the location.

Pliocene				
location	phase	detected (as percentage of modelled events)	not detected	false positive (as percentage of predicted events)
0N, 190E	El Niño	41 (95%)	2	6 (13 %)
Central Pacific	La Niña	53 (98%)	1	18 (25%)
6.875S, 278.75E	El Niño	41 (95%)	2	19 (32 %)
Eastern Pacific	La Niña	48 (89%)	6	24 (33%)
3.125S, 141.25E	El Niño	37 (86%)	6	22 (37%)
-ve $\delta^{18}\text{O}_{sw}$ signal	La Niña	39 (72%)	15	21 (35 %)
15S, 175E	El Niño	38 (88 %)	5	23 (38 %)
+ve $\delta^{18}\text{O}_{sw}$ signal	La Niña	45 (83 %)	9	31 (41 %)
total across all phases and locations		342 (88 %)	46	164 (32%)

Pre-Industrial				
location	phase	detected	not detected	false positive
0N, 190E	El Niño	51 (100 %)	0	18 (26 %)
Central Pacific	La Niña	49 (98 %)	1	19 (27 %)
6.875S, 278.75E	El Niño	44 (86 %)	7	25 (36%)
Eastern Pacific	La Niña	36 (72 %)	14	35 (49%)
3.125S, 141.25E	El Niño	38 (74%)	13	35 (48%)
-ve $\delta^{18}\text{O}_{sw}$ signal	La Niña	34 (68%)	16	45 (57%)
15S, 175E	El Niño	40 (78 %)	11	24 (37 %)
+ve $\delta^{18}\text{O}_{sw}$ signal	La Niña	37 (74 %)	13	47 (56%)
total across all phases and locations		329 (81%)	75	248 (42 %)

Table 1: Ability to detect El Niño and La Niña in the model produced pseudo-coral $\delta^{18}\text{O}_c$ at a single location. Results are from the final 300 years of the Pliocene and the Preindustrial simulations.

321 site, in the Pliocene than in the pre-industrial is due to the fact that El Niño events are stronger,
 322 relative to the background variability in the Pliocene. There is also notable coherence between
 323 the Pliocene and the preindustrial results: those sites which have good skill for the preindustrial
 324 also have good skill for the Pliocene. If the results of this modelling study accurately represent
 325 ENSO behaviour, they suggest that ENSO can usually be detected from a single site in the
 326 Pliocene provided the site is suitable for detecting modern ENSO.

327

328 Table 1 and figure 4 both show the skill to be best at the central Pacific site (a), followed by
 329 the Eastern Pacific site (b). The sites with an ENSO precipitation signal (c and d) appear to
 330 show similar skill in table 1, which just compares the number of events. However from figure 4
 331 the skill appears better at site c (which has increased precipitation in El Niño years) because
 332 the false positives at this location are of shorter duration. Considering these four sites only,
 333 the skill of ENSO detection appears only loosely related to the strength of the spectral peaks
 334 seen in figure 3. Although the spectral peaks were strongest in the central Pacific site (which
 335 had best skill) the spectral peaks were weakest in the Eastern Pacific site where the skill was
 336 also relatively good.

337

338 4.1 Application across the Tropical Pacific

339 The ability to detect ENSO in pseudocorals has so far been discussed in relation to four locations
 340 where there is a strong ENSO signal and good skill is expected. However the model is not limited
 341 to four locations. We are able to calculate $\delta^{18}\text{O}$ from many pseudo-coral to fully investigate
 342 where the model suggests there should be a strong and accurate ENSO signature in timeseries
 343 data of Pliocene age. We therefore produce a 300 year pseudo-coral using equation 1 for each
 344 gridbox across the Pacific. To compare locations each pseudocoral will be allocated a skill score
 345 based on its ability to detect ENSO. The skill score for each pseudocoral is calculated as follows:

$$skill = \left(\frac{1}{3} \left[\frac{EN_c}{EN_t} + \frac{LN_c}{LN_t} + \frac{NT_c}{NT_t} \right] - \frac{1}{3} \right) \times \frac{2}{3} \quad (2)$$

346 where EN, LN and NT denote the number of El Niño, La Niña and neutral months respectively.
 347 Subscript c denotes the number of months of each type that were correctly attributed and
 348 subscript t denotes the total months of that type. Note that the skill score has been normalised
 349 by subtracting 1/3 from the average of correctly detected events and multiplying by 2/3. Nor-
 350 malising means that if the model performs no better than random chance, there is an expected
 351 skill of 0 and perfect predictability will have a skill of 1. Also note that when determining
 352 whether a month was correctly attributed a two month margin of error was allowed, such that
 353 a month would be classed as correctly attributed if it was within 2 months of the predicted state.

354

355 The skill of the pseudo corals across the Pacific is shown in figure 5 for the Preindustrial and the
 356 Pliocene. As expected from our four test pseudocorals, regions of high skill in the Preindustrial
 357 generally correspond to regions of high skill in the Pliocene, and the difference in skill between
 358 regions is much larger than the difference in skill between the two time periods.

359

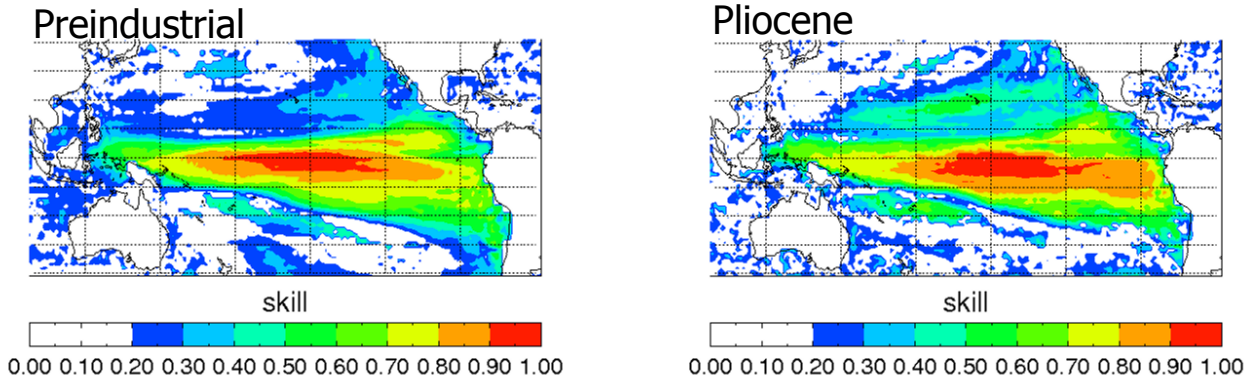


Figure 5: Skill of modelled 'pseudocorals' across the Pacific in their ability to detect ENSO. See text for a discussion of how the skill was calculated.

5 Comparison to planktonic foraminifera data

5.1 Bulk Foraminifera measurements

Although not able to provide a timeseries in the same way as coral data, previous work has used planktonic foraminifera data to assess El Niño changes between the Pliocene and the modern. Planktonic foraminifera data has been used in two main ways: 1.) a Bulk Foraminifera analysis where a number of foraminifera are crushed and mixed before analysis to find typical climate conditions. Data from bulk foraminifera analysis will be discussed in this section. 2.) The Individual foraminifer analysis, where a number of single foraminifera are used to find the variability in climate. This will be discussed in section 5.2.

Wara et al. (2005) considered bulk foraminifera data from two sites, one from the Eastern Pacific and one from the Western Pacific. These suggested that the average temperature gradient across the Pacific was smaller in the Pliocene than the modern (2°C in the Pliocene, 6°C modern). They noted that the Pliocene average gradient was similar to modern “El Niño” conditions, and hypothesised that the Pliocene was in a permanent El Niño state. However their study also suggested that the thermocline depth in the Eastern Pacific from 4Ma-0Ma was similar to today, and not indicative of a permanent El Niño over this period. A permanent El Niño in the mid-Pliocene Warm Period does not agree with modelling studies (*Bonham et al.*, 2009, *Brierley*, 2015) or some other analyses (*Zhang et al.*, 2014a). Since this paper includes modelling of $\delta^{18}\text{O}_c$ in planktonic foraminifera, we revisit the comparison between the HadCM3 model and the *Wara et al.* (2005) study, in order to see if the two can be better reconciled.

The sites used in the *Wara et al.* (2005) study were ODP 806 (0°N,159°E) in the Western Pacific and ODP 847 (0°N,95°W) in the Eastern Pacific. Site ODP806 is the only published data of Pliocene age from the WEP warm pool (*Ravelo et al.*, 2014) and there is a great deal of controversy as to whether this site was warmer or not (*Ravelo et al.*, 2014, *Zhang et al.*, 2014b). Although most of this debate has been focussed on the early Pliocene (3.5-5Ma ago),

387 the reasoning extends to the mPWP timeslice considered here. There is less controversy in the
388 EEP. Studies generally agree that in the Pliocene this region was warmer than today. Due to
389 a lack of data of Pliocene age, the sites used in the *Wara et al.* (2005) study are important
390 locations for considering discrepancies in ‘permanent El Niño’ indicators.

391

392 Preindustrial HadCM3 does not reproduce the modern 6°C temperature difference calculated
393 by *Wara et al.* (2005) between ODP806 and ODP847. Instead HadCM3 shows a temperature
394 difference of 0.5°C at the surface and 4°C at 20m (20m being the depth represented by the
395 data). The discrepancy is partly due to the location of the sites. The Eastern Pacific site is
396 located on the edge of the cold tongue in an area of large horizontal temperature gradients,
397 while the location of the Western Pacific warm pool is slightly offset in HadCM3, meaning that
398 in HadCM3 the Western Pacific site is not representative of the Western Pacific warm pool.
399 Because of these issues we do not perform a site to model gridbox model data comparison here.
400 Instead we will consider the range of values that lie within 2.5° and 5° of the gridbox contain-
401 ing each site, which will ensure that both the cold tongue and the warm pool in HadCM3 are
402 included.

403

404 Figure 6 shows the range of modelled values of $\delta^{18}\text{O}_c$ and temperature within 2.5° and 5°
405 of the western Pacific warm pool region (shaded region) and the Eastern Pacific region (grey
406 lines) for the pre-industrial simulation and the Pliocene simulation. $\delta^{18}\text{O}_c$ was obtained from
407 modelled temperature and modelled $\delta^{18}\text{O}_{sw}$ using the equation of *Erez and Luz* (1983). Prein-
408 dustrial $\delta^{18}\text{O}_c$ is in reasonable agreement with the core top values, which represent recent times,
409 (-2.22‰ at site 806 and -1.42‰ at a location near site ODP847 *Dekens et al.*, 2002). How-
410 ever the modelled $\delta^{18}\text{O}_c$ at 3.2Ma is less than *Wara et al.* (2005) reported for site ODP806
411 ($\sim -1.5\text{‰}$) or site 847 ($\sim -1.3\text{‰}$). Despite this notable offset the measured gradients across
412 the Pacific of 0.25‰ is within the large range of values that occur within 2.5° of the modelled
413 sites, and the range of modelled temperatures is sufficiently large to capture the observations.
414 In the model the temperature and $\delta^{18}\text{O}_c$ gradients at these locations, are similar for the two
415 time periods. In agreement with *Wara et al.* (2005) the thermocline in the Eastern Pacific has
416 changed little between the pre-industrial and the Pliocene; however we do not find changes in
417 the E-W gradient of either temperature or $\delta^{18}\text{O}_c$. The main differences between the two time
418 periods is a $\sim 0.5\text{‰}$ decrease in $\delta^{18}\text{O}_c$ and a $\sim 2.0^\circ\text{C}$ warming in the Pliocene which applies
419 consistently to the top 100m of the ocean and at both sites. The model is unable to assess why
420 some analyses show the Western Pacific was warmer in the Pliocene, while others do not. We
421 are not able to collaborate suggestions by *Zhang et al.* (2014a), that some records could have
422 been compromised by changes in seawater chemistry, diagenesis and calibration limitations.
423 However we do note the $\delta^{18}\text{O}_c$ Pliocene data is up to 1.2‰ higher than in the model, while the
424 model agrees well with data for recent times, opening the possibility that diagenesis may be
425 affecting at least the $\delta^{18}\text{O}_c$ measurements.

426

427 The large temperature gradients across both sites and the strong Eastern Pacific thermocline
428 means that a small shift in either the Warm Pool or the cold tongue could lead to a reduc-
429 tion in the gradient across the Pacific without a permanent El Niño. Although we do not
430 see either feature shift in our simulations it is possible that a different (and equally valid)
431 orbital configuration which occurred in the Pliocene may lead to such a shift. Attributing a
432 reduced E-W gradient (based on a single Western Pacific site) to ‘permanent’ El Niño, may not

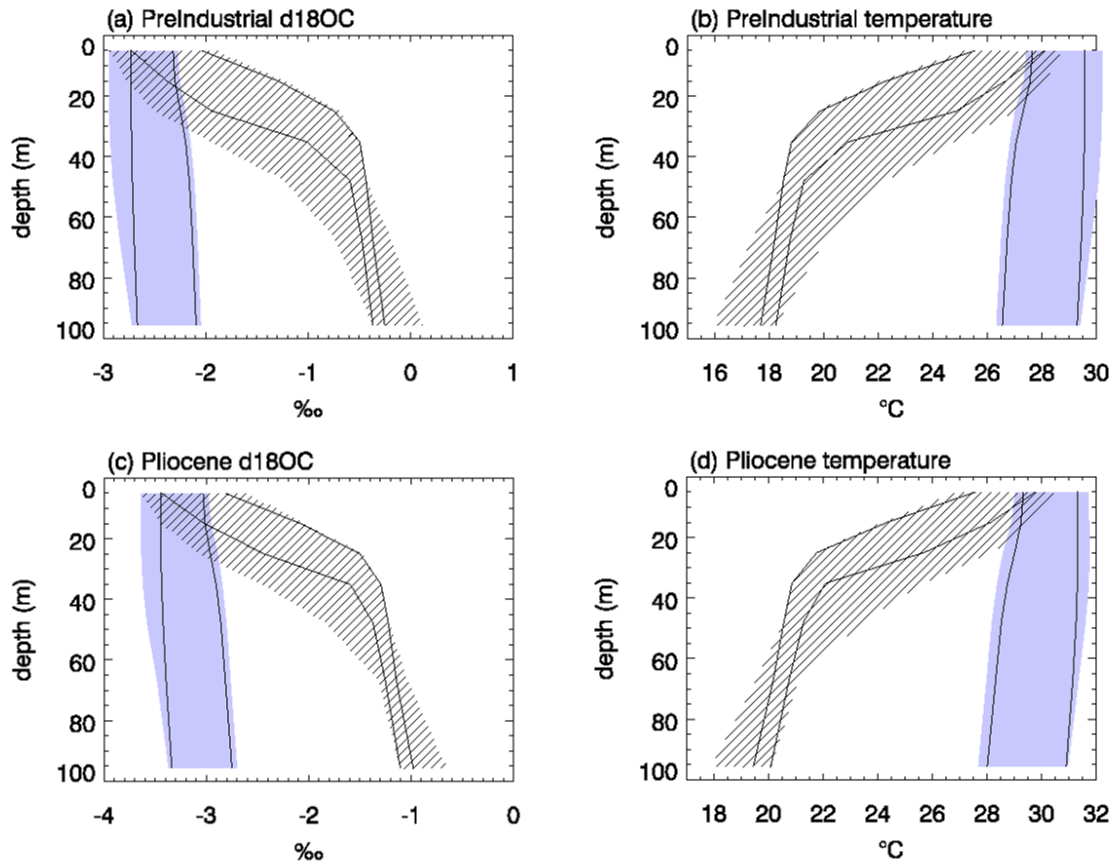


Figure 6: Modelled $\delta^{18}O_c$ and temperature representing the site ODP806 in the Western Pacific (shaded region), and ODP847 in the eastern Pacific (hatched region). The full range of values shown is model output within 5°C of the gridbox containing each site. The thin black lines highlight the range of values within 2.5° of the gridbox containing the site.

433 therefore be fully robust, even if the existence of such an E-W gradient was universally accepted.
 434

435 5.2 Individual Foraminifera Analysis

436 The major limitation of using bulk foraminifera measurements to investigate El Niño for past
 437 climates is that interannual variability can not be measured. For example although bulk
 438 foraminifera measurements can suggest whether or not the average East-West temperature gra-
 439 dient in the Pliocene was different, they cannot indicate why these average differences occur.
 440 For example a smaller east-west average temperature gradient could be due to a) permanent
 441 “El Niño like” conditions b) ENSO variability around a smaller than modern East-West tem-
 442 perature gradient, or c) more frequent and stronger El Niño episodes imposed on a background
 443 state similar to modern. To overcome these issues an alternative way of analysing foraminifera
 444 was proposed by *Koutavas et al.* (2006). This analyses $\delta^{18}O_c$ measurements on a number of
 445 individual foraminifera, with a single foraminifera representing the climatic conditions for a

446 2-4 week period, and can show monthly variability. Any foraminifera representing tempera-
447 tures that are warmer than would be expected in the warmest month or colder than would
448 be expected in the coldest month could be classed as ‘extra seasonal’ and be attributed to
449 ENSO related variability. This method has the disadvantage, in that it can only detect El
450 Niño episodes that occur in the season where the temperature is warmest. For example, a very
451 large El Niño in September would not show extraseasonal temperature in the Eastern Pacific
452 region, since it would still be cooler than the average April temperature. The advantage to
453 this method, however, is that some El Niño and La Niña events should be detected, provided
454 enough individual foraminifera are used, and the presence of these events should be sufficient
455 to state whether there was ENSO variability. Here we will use the HadCM3 simulations to
456 investigate whether ENSO variability in the Pliocene can be detected in this way and compare
457 results to the *Scropton et al.* (2011) study which analysed individual foraminifera of Pliocene
458 age to determine ENSO variability.

459

460 HadCM3 is used to simulate values of individual foraminifera $\delta^{18}\text{O}_c$ at the gridbox containing
461 the ODP site 846 ($3^\circ\text{S}, 90^\circ\text{W}$) that was used by *Scropton et al.* (2011). This is shown for the
462 Pliocene and the preindustrial in figure 7. Here each foraminifera $\delta^{18}\text{O}_c$ is calculated using the
463 temperature and $\delta^{18}\text{O}_{sw}$ that occurred in a single month of the last 50 years of the simulation.
464 Black crosses represent times when the model was in a neutral state, red crosses represent times
465 when the model was in an El Niño state and blue crosses represent times when the model was
466 in a La Niña state. Different depths have been shown to suggest what the results would be for
467 different foraminifera species, and foraminifera representing El Niño and La Niña have been
468 slightly offset for clarity. For the preindustrial (figure 7a), it can be seen that the extreme low
469 $\delta^{18}\text{O}_c$ values represent times when the model is in an El Niño state, while the extreme high
470 $\delta^{18}\text{O}_c$ values represent times when the model is in a La Niña state. This analysis suggests that,
471 for the pre-industrial, extraseasonal events detected in Planktonic foraminifera species that live
472 down to 130m, will represent El Niño and La Niña conditions.

473

474 For the Pliocene (figure 7b) the results are slightly different. In this case the times with ex-
475 traseasonal high values of $\delta^{18}\text{O}_c$ still generally represent La Niña conditions, however the times
476 of extraseasonal low values of $\delta^{18}\text{O}_c$ is less clear than the pre-industrial case. Indeed this figure
477 suggests that in the top 30m of the ocean the times of greatest extraseasonal low values of
478 $\delta^{18}\text{O}_c$ are associated with La Niña which is contrary to expectations. Although both extrasea-
479 sonal high and low values of $\delta^{18}\text{O}_c$ are reproduced by the Pliocene simulation, without prior
480 knowledge it would be impossible to accurately determine El Niño events from the simulated
481 foraminifera data. In order to check that the last 50 years of the Pliocene simulation were
482 typical, we repeated this analysis for the preceding 50 years of the Pliocene simulation and
483 found similar results. However in the preceding 50 years (not shown) extraseasonal low values
484 of modelled $\delta^{18}\text{O}_c$, at the time of La Niña, were also obtained down to the 130m depth.

485

486 To understand why the model suggests it possible to detect extraseasonal El Niño events in the
487 pre-industrial, but not in the Pliocene, at this site we will first consider the component parts of
488 the modelled foraminifera $\delta^{18}\text{O}_c$, namely $\delta^{18}\text{O}_{sw}$ and temperature. We will consider the surface
489 and also the next layer (10-20m) where the extraseasonal low values occurring at the time of a
490 La Niña are highest.

491

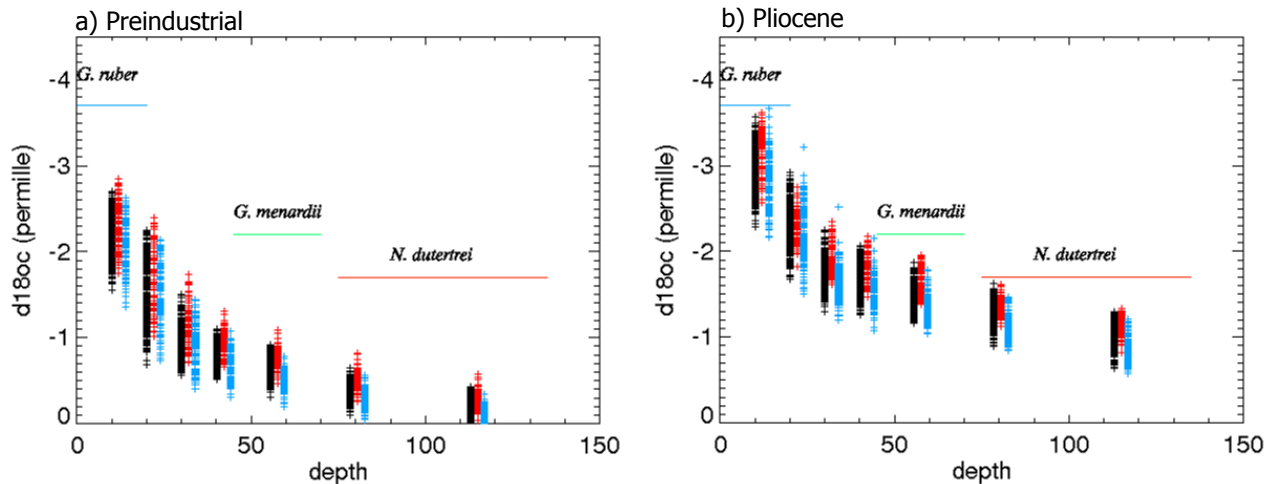


Figure 7: Modelled individual foraminifera from the last 50 years of the pre-industrial (left) and Pliocene (right) simulations. Black crosses represent times when the model was in a neutral state, red crosses represent times when the model was in an 'El Niño' state and blue crosses represent times when the model was in a La Niña state. Different depths have been shown to suggest what the results would be for different foraminifera species.

492 Figure 8a shows a timeseries of monthly averaged temperature, $\delta^{18}\text{O}_{sw}$ and $\delta^{18}\text{O}_c$ for the last
 493 50 years of the pre-industrial simulation. Times where the model is in an El Niño state are
 494 shown in red, times when the model is in a La Niña state are shown in blue and neutral con-
 495 ditions are shown in black. Horizontal lines have been overplotted at arbitrary limits of 28°C
 496 for temperature, 0.7‰ for $\delta^{18}\text{O}_{sw}$ and -2.6‰ for $\delta^{18}\text{O}_c$ to highlight where the extreme values
 497 occur. In agreement with figure 7 we see that the times of lowest $\delta^{18}\text{O}_c$ values occur during
 498 El Niño conditions, the highest $\delta^{18}\text{O}_c$ occur during La Niña condition and extraseasonal values
 499 are correctly attributed. The low values of $\delta^{18}\text{O}_c$ that are detected as El Niño are all due to
 500 warm temperatures and $\delta^{18}\text{O}_{sw}$ varies little. Figure 8b shows the analogous timeseries for the
 501 Pliocene simulation. Although generally the highest temperature values occur at the time of an
 502 El Niño and the lowest temperature values occur at the time of a La Niña these results do not
 503 always follow through into $\delta^{18}\text{O}_c$. Indeed the most extreme low values of $\delta^{18}\text{O}_c$ occur around
 504 months 16-17 at a time of La Niña (see also extreme value at 10m on figure 7b). In addition
 505 some true El Niño episodes which cause extreme values in temperature do not translate to
 506 extreme values in $\delta^{18}\text{O}_c$ (see near month 200). These errors are partly due to temperature
 507 (which will be discussed later) and partly due to changes in $\delta^{18}\text{O}_{sw}$. Comparing figures 8a
 508 and 8b we see that $\delta^{18}\text{O}_{sw}$ at this site was much more variable in the Pliocene. Variability in
 509 $\delta^{18}\text{O}_{sw}$ is not obviously tied to the phase of ENSO, however an extreme low value of $\delta^{18}\text{O}_{sw}$
 510 (such as occurs at months 16-17) can amplify a small peak in temperatures at this time to
 511 produce a very low value of $\delta^{18}\text{O}_c$. This low value would be falsely interpreted (from figure 7b
 512 alone) as the strongest El Niño in the record. Large $\delta^{18}\text{O}_{sw}$ variability that is not obviously
 513 tied to ENSO can therefore interfere with the signal in archived $\delta^{18}\text{O}$, which is being used to
 514 understand ENSO. The reason that $\delta^{18}\text{O}_{sw}$ in this region is more variable in the Pliocene than
 515 in the pre-industrial is mainly because the hydrological cycle is stronger in the Pliocene. Peak
 516 values of precipitation in this region are typically 40% larger in the Pliocene and act to supply
 517 reduced $\delta^{18}\text{O}_p$ to the ocean, which in turn reduces $\delta^{18}\text{O}_{sw}$. In a month of large precipitation the

518 $\delta^{18}\text{O}$ of the precipitation entering the ocean can be $\sim -10\text{‰}$ and can lower the $\delta^{18}\text{O}_{sw}$ from its
 519 typical value of $\sim 0.5\text{‰}$. The lowest value of $\delta^{18}\text{O}_{sw}$ ($\sim -0.8\text{‰}$) seen at months 16-17 in figure 8
 520 corresponds to the largest precipitation value in this timeseries which has the lowest $\delta^{18}\text{O}_p$ value.
 521

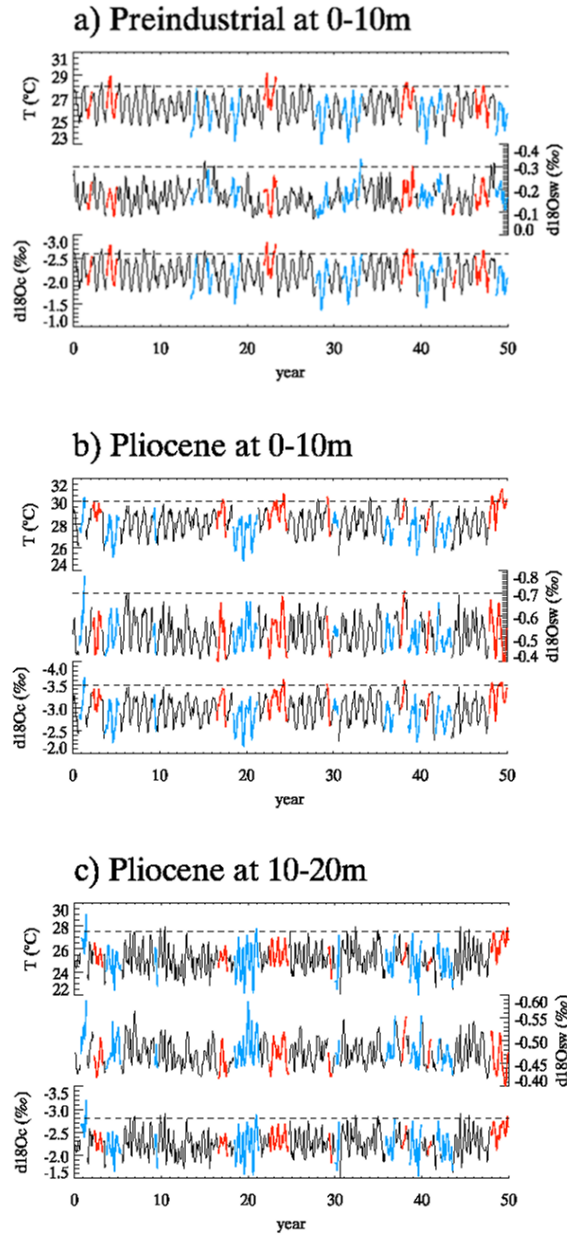


Figure 8: Temperature, $\delta^{18}\text{O}_{sw}$ and $\delta^{18}\text{O}_c$ from the last 50 years of the simulations. Red (blue) shows times when the model is in an El Niño (La Niña) state. Horizontal lines are drawn on each figure to highlight 'extreme' events.

522 Although $\delta^{18}\text{O}_{sw}$ is clearly important for the surface ocean $\delta^{18}\text{O}_c$, at deeper levels its impor-

523 tance is diminished. This is because $\delta^{18}\text{O}_{sw}$ is less variable at deeper levels because precipitation
524 and evaporation will have largest effect near the ocean surface. Indeed at the 10-20m model
525 level the variability in $\delta^{18}\text{O}_{sw}$ is typically half what it is at the 0-10m model level. We noted
526 previously that at the surface both $\delta^{18}\text{O}_{sw}$ and temperature were responsible for producing the
527 unexpected low values of $\delta^{18}\text{O}_c$. The La Niña in months 16-17 appeared extraseasonal at the
528 surface mainly because of the anomolous $\delta^{18}\text{O}_{sw}$, but this La Niña was also uncharacteristically
529 warm in this gridbox. At deeper ocean levels, where the unexpected low $\delta^{18}\text{O}_c$ values in a
530 La Niña month persist, $\delta^{18}\text{O}_{sw}$ varies less and temperature becomes relatively more important.
531 Figure 8c shows temperature, $\delta^{18}\text{O}_{sw}$ and $\delta^{18}\text{O}_c$ for the final 50 years of the Pliocene experiment
532 from the 10-20m layer of the ocean. At 10-20m, unlike the surface layer, El Niño neither has
533 warm temperatures or low $\delta^{18}\text{O}_c$ at this location. At this location, the highest temperatures
534 and highest $\delta^{18}\text{O}_c$ do not represent El Niño, but instead represent either La Niña or neutral
535 conditions, and the IFA method could not correctly attribute the low extraseasonal values of
536 $\delta^{18}\text{O}_c$ to El Niño. The warm values that occur near this site during some La Niña episodes
537 are localised and do not reflect large scale conditions. They are due to a small region of ocean
538 downwelling in the Eastern Pacific that occurs in April in the Pliocene simulation. Small in-
539 terannual shifts in this region of downwelling occur and can infrequently lead to high localised
540 temperatures in the subsurface Eastern Pacific waters. It is unclear whether this small region
541 of downwelling in the Pliocene simulation is reasonable or whether it is simply an artifact of
542 the model. However, this example highlights that it is possible for non-ENSO related features
543 to affect a local site in the Pliocene, but not in the modern, and this could make a method
544 which appears suitable for ENSO detection based on modern data unsuitable for other time
545 periods.

546

547 **5.3 Which regions can ENSO be detected in IFA measurements?**

548 In the same way that the model could simulate pseudo-corals from a large range of locations
549 (see section 4.1), we can extend the planktonic foraminifera analysis to assess the IFA technique
550 throughout the Pacific. We use the final 300 years of data from the simulation and simulate
551 monthly individual foraminifera measurements for the surface, for each gridbox across the Pa-
552 cific.

553

554 *Scroxtton et al.* (2011) calculated that the probability of a month occurring with conditions that
555 would be recorded as extraseasonal for *G. ruber*, the surface dwelling species, was 0.04. Fol-
556 lowing this we classify the lowest and highest 2% of simulated foram $\delta^{18}\text{O}_c$ as extraseasonal.
557 Gridboxes which have high precipitation or warm temperatures in El Niño years are expected
558 to simulate the lowest 2% of $\delta^{18}\text{O}_c$ values when there is an El Niño and the highest 2% of values
559 when there is La Niña (see figure 1). Gridboxes which are dry in El Niño years are expected to
560 simulate the highest 2% of $\delta^{18}\text{O}_c$ values when there is an El Niño and the lowest 2% of values
561 when there is La Niña. For each gridbox the fraction of extraseasonal events, which occur when
562 the model is in the correct El Niño or La Niña state, is determined and results shown in figure
563 9. Only gridboxes where the extraseasonal events are correctly attributed in at least half of
564 cases are plotted.

565

566 As with the timeseries data (represented by coral; figure 5), results from planktonic foraminifera
 567 data are similar for the Pliocene and the preindustrial. In general regions where a high frac-
 568 tion of extraseasonal values can be correctly attributed for the modern can also be correctly
 569 attributed for the Pliocene. However, as has been seen in the preceding sections, this is not
 570 always the case, and care must be taken when considering individual gridboxes. In particular
 571 the Easternmost part of the Pacific (including near the *Scroxton et al.* (2011) site, which is
 572 marked) shows a much reduced skill in the Pliocene relative to the preindustrial. As shown
 573 above this is due to more non-ENSO related variability (such as in $\delta^{18}\text{O}_{sw}$), and shifts in up-
 574 welling zones. It is probable that these shifts in upwelling zones are an artefact of the model
 575 and do not represent true Pliocene conditions. If this is the case the reduced skill in this region
 576 for the Pliocene will not represent reality. However, this does highlight the fact that a single
 577 location can be subject to significant variability that is not related to ENSO, and without
 578 a continuous timeseries to analyse, short term variability can strongly effect a signal. Note
 579 that for the modelled continuous timeseries (represented by pseudocorals; figure 5) the Eastern
 580 Pacific has greater skill in the Pliocene than in the preindustrial. With continuous timeseries
 581 data, a single anomalous month is not able to incorrectly infer an ENSO event.
 582

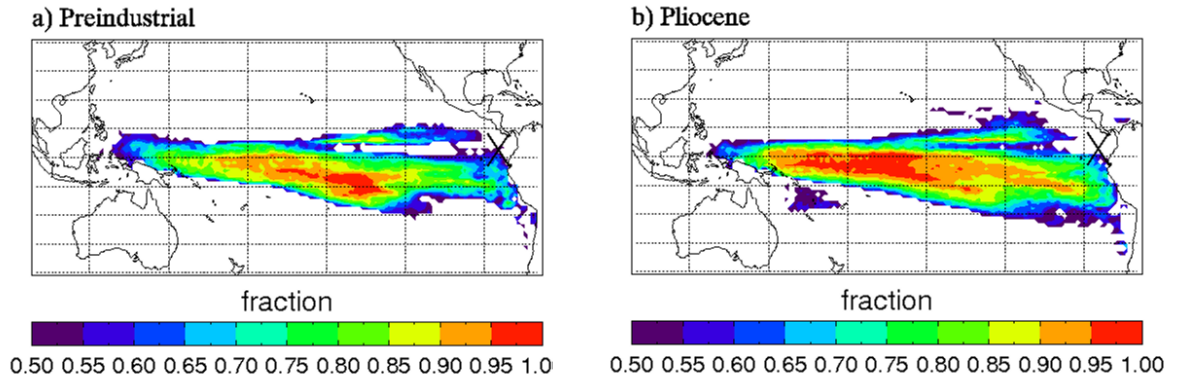


Figure 9: Fraction of the most extreme 2% of pseudo planktonic foraminifera measurements that were correctly attributed to El Niño and La Niña for each location across the Pacific.

583 Figure 9 shows the modelled results from the gridbox containing the *Scroxton et al.* (2011) site
 584 are not typical throughout the Pacific. Overall the fraction of extraseasonal values that are El
 585 Niño or La Niña is greater in the Pliocene than it is for the Preindustrial. In agreement with
 586 the pseudocoral data, the central Pacific is a particularly good region for ENSO detection, both
 587 for the Pliocene and the preindustrial. The Western Pacific, (near Papua New Guinea) is the
 588 region that shows the greatest increase in skill in the Pliocene. This is due to the fact that
 589 this region has an ENSO related precipitation signal, which is much stronger in the Pliocene
 590 than in the preindustrial (see figure 1), while the ENSO related temperature change between
 591 the two climates is relatively smaller.
 592

593 6 Conclusions

594 In the simulations discussed here, the amplitude of El Niño was larger in the Pliocene than
595 the preindustrial, and the hydrological cycle (both ENSO related and non-ENSO related) was
596 stronger. This difference in ENSO behaviour can affect the accuracy of ENSO detection from
597 paleoarchives. If ENSO was instead weaker in the Pliocene as suggested by other models (*Brier-*
598 *ley, 2015, Zhang et al., 2013*), or for certain times and locations (*Tindall et al., 2016*) results
599 are likely to differ. In our study the increased magnitude of El Niño can be seen in all the fields
600 we considered (temperature, precipitation, $\delta^{18}\text{O}_p$ and $\delta^{18}\text{O}_{sw}$), and this makes El Niño easier
601 to detect in Pliocene proxy data than it would be in proxy data from recent times. Two types
602 of pseudo data produced from the HadCM3 model were considered to assess whether ENSO
603 could be detected and for which regions this detection was accurate,

604
605 The first type of data considered was HadCM3 derived ‘pseudo-corals’ which were intended
606 to represent archives where a continuous time series with high temporal resolution could be
607 available, such as coral or Mollusk data. For completeness a pseudo-coral was produced for
608 each gridbox in the tropical Pacific, even though the potential for such data to exist is limited
609 to a small number of localities. Looking at individual localities where a strong ENSO signal
610 was expected, it was found that the skill of accurately detecting ENSO was slightly larger in
611 the Pliocene than in the Preindustrial (due to the stronger El Niño signal in the Pliocene).
612 However this slight increase in skill between the two time periods was relatively modest when
613 compared with the large variation in skill due to location. In general, areas which have a good
614 skill at ENSO detection in the preindustrial also have good skill in the Pliocene, however the
615 region is slightly expanded in the Pliocene. The reasoning of *Watanabe et al. (2011)*, which
616 compared Pliocene coral with a nearby live coral, to assess ENSO behaviour is supported by
617 our study.

618
619 Modelling $\delta^{18}\text{O}_{sw}$ cannot always help reconcile model and data. This was shown by using the
620 HadCM3 model to assess the change in the east-west gradient across the Pacific that was dis-
621 cussed by *Wara et al. (2005)* study. Although the data shows a decrease in the temperature
622 and $\delta^{18}\text{O}_c$ gradients across the tropical Pacific between the Pliocene and the pre-industrial this
623 could not be reproduced with the model. This could be due to factors such as model boundary
624 conditions only representative of a short Pliocene timeslice while the bulk foraminifera mea-
625 surements represent a much longer time period. However our analysis highlighted that both
626 temperature and $\delta^{18}\text{O}_c$ were subject to large spatial gradients in these regions and suggested
627 that a shift in climate zones could explain the data without the requirement of a permanent El
628 Niño.

629
630 The model results shown here provided interesting insights into using individual foraminifera
631 to detect El Niño. It was found that for an individual location the results between the modern
632 climate and the Pliocene climate could be decoupled such that El Niño could be detected in
633 recent data, but not in the Pliocene data. However we acknowledge the limitations of consid-
634 ering model output from a single model gridbox, and do not claim that any location should
635 necessarily be avoided for ENSO studies. Instead we highlight that there could be different
636 processes occurring in the Pliocene and that validating the IFA method using modern data

637 may not mean that this method is suitable for other periods. This is in contrast to what we
638 suggested for timeseries data (such as coral), as in timeseries data a non-ENSO anomaly would
639 have to persist for several months to affect the results.

640

641 Despite the Pliocene simulation suggesting that the IFA technique could be unreliable for the
642 Pliocene near the Eastern Pacific datasite available (*Scroxton et al.*, 2011), results based on
643 this method were generally encouraging. Across most of the Pacific this technique had greater
644 skill in accurately attributing extraseasonal events to El Niño and La Niña conditions for the
645 Pliocene than for the preindustrial. In the central and western central Pacific the skill was
646 particularly improved.

647

648 Throughout this paper the central Pacific has been highlighted as one region where paleoprox-
649 ies are likely to provide a good signal of ENSO variability. Data which has a continuous time
650 series (like corals) and data which has high resolution but is not continuous (like individual
651 foraminifera) both perform well in this region. The model suggests that if data from this region
652 provides an indication of ENSO activity, there is good confidence that El Niño, La Niña and
653 neutral conditions are correctly attributed. This is not the case in all regions, and we have
654 highlighted cases where strong ENSO activity has been falsely implied. If we can be confident
655 that the data is accurately categorising ENSO, it would then be useful to use the data deter-
656 mine whether El Niño was stronger, weaker or of similar magnitude in the Pliocene. This would
657 then provide a suggestion of what ENSO may be like in a warm future climate.

658 THIS IS WHERE WE PUT THE CONCLUSIONS FROM KAU'S WORK.

659

660 In our simulations the Pliocene hydrological cycle was enhanced, and non ENSO related pre-
661 cipitation was also enhanced. The implications of this are twofold. Firstly, in a region that
662 is influenced by ENSO, but with little other interannual variability, ENSO should be easier
663 to detect than in the modern. Secondly, non-ENSO related interannual variability could be
664 stronger in the Pliocene climate and this may mask the ENSO signal in Pliocene data even if it
665 does not in the modern. This highlights the importance of considering all periods of variability
666 when interpreting data from a single site.

667

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