1 Quantifying Particle Size and Turbulent Scale Dependence of Dust Flux in the Sahara

2 Using Aircraft Measurements

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20 Key Points

21 Aircraft based, size-resolved, Saharan dust fluxes are measured

22 The eddy covariance method is used with particles up to 300 micrometers

23 Links to terrain, topography and vertical turbulent kinetic energy are discussed

24

25 Abstract

- 26 The first size-resolved airborne measurements of dust fluxes and the first dust flux
- 28 by Kok [2011a]. High frequency measurements of dust size distribution were obtained from
- 29 0.16-300 µm diameter and eddy covariance fluxes were derived. This is more than an order of
- 30 magnitude larger size range than previous flux estimates. Links to surface emission are
- 31 provided by analysis of particle drift velocities. Number flux is described by a -2 power law

32 between 1 and 144 µm diameter, significantly larger than the 12 µm upper limit suggested by

- 33 *Kok* [2011a]. For small particles, the deviation from a power law varies with terrain type and
- 34 the large size cut-off is correlated with atmospheric vertical turbulent kinetic energy,
- 36 flux mode is in the range 30-100 μ m. The turbulent scales important for dust flux are from
- 37 0.1 km to 1-10 km. The upper scale increases during the morning as boundary layer depth
- 38 and eddy size increase. All locations where large dust fluxes were measured had large
- 39 topographical variations. These features are often linked with highly erodible surface
- 40 features, such as wadis or dunes. We also hypothesize that upslope flow and flow separation
- 41 over such features enhance the dust flux by transporting large particles out of the saltation
- 42 layer. The tendency to locate surface flux measurements in open, flat terrain means these
- 43 favored dust sources have been neglected in previous studies.

44 Index Terms and Keywords

Index terms: aerosols and Particles, turbulence; boundary layer processes; Instruments and
 techniques; subgrid-scale (SGS) parameterization

Keywords: mineral dust; eddy covariance flux; emission; topography; aircraft measurements;subgrid parameterization

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50 **1. Introduction**

51 Atmospheric dust influences the globe, its atmosphere and biosphere as well as the human 52 population in a number of ways. It affects the absorption and scattering of radiation in the 53 atmosphere, and hence the radiation budget and heating rates. For example, it is known to 54 affect monsoon flows [Zhao et al., 2012] and the formation of tropical cyclones over the Atlantic Ocean [Sun et al., 2008]. Dust can be transported long distances before being 55 56 deposited and acting as a nutrient in iron limited environments [Kaufman et al., 2005; 57 McConnell et al., 2008]. Dust impacts air quality and dust storms can have an economic cost, 58 for example adversely affecting agricultural land and livestock [Arnalds et al., 2001] and 59 causing travel disruption [Park and Lee, 2004]. If the dust contains volcanic glass, such as 60 those in Iceland [Stuart, 1927; Arnalds et al., 2001], then the dust can cause an aviation 61 hazard.

Airborne dust can be identified via satellite, aircraft or surface observations which may, for 62 63 example, take the form of imagery, aerosol optical depths, particle size distributions, number concentrations and mass loadings. These measurements can be used to assess the success of 64 65 aerosol models predicting uplift, transport and deposition; however doing so does not 66 independently test the separate mechanisms of generation, transport and deposition of dust, 67 which are all dependent upon particle size. Many models have similar aerosol optical depths (AODs), but widely varying emissions [Huneeus et al., 2011]. In order to effectively model 68 69 atmospheric dust it is important to correctly predict the size dependent flux of material from 70 the surface into the boundary layer and the free troposphere where it can be transported long 71 distances and have significant atmospheric impact.

72 In all dust uplift models two categories of airborne particles are considered, a saltating 73 population and a dust population [*Shao*, 2008]. Saltation particles have diameters \geq 100 µm and can be emitted by aerodynamic forces or impacts of other saltators with the surface [Kok 74 75 et al., 2012]. Their motion after ejection is dominated by gravitational forces and they are expected to exist only in a saltation layer extending a few cm from the surface. The dust 76 77 population contains much smaller and less massive particles which are more difficult to lift 78 via aerodynamic forces due to cohesion forces in the soil, but can be ejected by saltator 79 surface impacts and can be lifted above the saltation layer to become suspended for long

80 durations.

- 82 [2001] indicated the presence of wind speed dependent disaggregation, *Kok* [2011b] found
- that field experiments [Gillette et al., 1972, 1974; Gillette, 1974; Sow et al., 2009] are not
- 84 consistent with this finding and suggested the wind speed dependence was an indication that
- 85 steady state emission was not reached. *Kok* [2011a] provided scale invariant model of dust
- 86 emission by brittle fragmentation of aggregates of dust particles in the soil which was found
- to be in excellent agreement with measured emitted particle size distributions.
- 88 In this paper we present measurements of the size resolved dust fluxes retrieved from aircraft
- 89 measurements throughout the depth of the boundary layer in the heart of the Saharan Desert.
- 90 These were made as part of the Fennec project. To our knowledge these are the first
- 91 measurements of size resolved dust fluxes to be made from an aircraft. The Fennec project
- boundary layer under the unique conditions of the Saharan heat low [Cuesta et al., 2009] and
- 95 [Marsham et al., 2013; Todd et al., 2013] and sixteen flights by the UK's BAe-146-301
- 96 Atmospheric Research Aircraft operated by the Facility for Airborne Atmospheric
- 97 Measurements (henceforth the FAAM BAe-146).
- As the measurements used here are taken from an airborne platform the derived values do not
 directly represent surface emissions. However, a number of objectives are achievable from
 the air and the aims of this paper are therefore as follows.
- To provide a detailed methodology of the size resolved particle flux measurement
 technique used here with a description of the challenges specific to the platform and
 the conditions.
- 104 2) Evaluate the dependence of the flux upon particle size, covering a broader range of105 sizes than covered in existing literature.
- 106 3) Evaluate if or how fluxes are affected by different meteorological conditions and
 107 regional or local surfaces types.
- 1084) Understand these results in terms of the emission processes which generate the109 observed dust fluxes.
- 110 **2. Methods**

111 The dust flux estimates presented here are derived using the eddy covariance method. Details 112 of the instrumentation and methodology are presented below. The use of the aircraft platform 113 permitted measurements in the heart of the Sahara where ground based observations are 114 sparse or non-existent. It also enabled measurements over a variety of different locations and 115 surface types and allowed us to target meteorological events of interest. However the 116 measurements are made significantly above ground level. The minimum altitude at which the 117 aircraft was capable of safely flying was a function of visibility such that if the pilots were 118 able to clearly see the surface the aircraft was permitted to fly at approximately 100 m above 119 ground level, tracking topography to maintain this altitude. However if at any point the ground was obscured by dust then the aircraft was forced to climb to the local Minimum Safe 120 121 Altitude defined by maps of the local topography. This was generally of the order 1 km. The 122 finite height above ground of the measurement platform means that the fluxes derived are not 123 directly representative of surface emission, however the flux measured by the aircraft, which 124 was generally in the lowest 20% of the boundary layer, is clearly strongly related to the 125 emission flux and this relationship is discussed later in the manuscript. In some cases the 126 altitude, combined with a moving platform can be an advantage. For example a single surface 127 flux tower in a hilly region may be biased by its immediate surroundings, whereas an aircraft 128 flying over a hilly region is able to average over many hills and valleys in the varying 129 topography.

130 **2.1. Instrumentation**

The FAAM BAE-146 was equipped with a broad range of instrumentation for making in-situ measurements of the dust population. Here we use optical particle counters (OPCs), which determine the size distribution of airborne particles via the light scattered from individual particles and optical array probes (OAPs) which image the shadow of larger particles to derive size distributions. *Ryder et al.* [2013b] provides a full list of the instrument suite.

136 Three particle sizing instruments have been utilized as part of this work on the basis of their 137 reliability and sampling frequency. These are the Passive Cavity Aerosol Spectrometer Probe 138 (PCASP), the Cloud Droplet Probe (CDP) and the Cloud Imaging Probe (CIP) which are an 139 OPC, an OPC and an OAP respectively. The PCASP and CDP were found to work well 140 throughout the Fennec detachment, although there may have been a calibration problem with 141 the small sizes measured by the PCASP so the first 7 channels (up to ~0.16 μ m diameter, all 142 affected by one amplification stage) of this instrument have been omitted as a precaution. In

143 combination these three instruments provide size distributions covering particle diameters ranging from $\sim 0.16 - 960 \,\mu\text{m}$. An important characteristic of these particle sizing instruments 144 is their sampling frequency. The smallest eddy scale which may be captured by the flux 145 derivation is twice the ratio of the aircraft airspeed (approximately 120 m s⁻¹) to the 146 147 instrument sampling frequency. The PCASP has a sampling frequency of 10 Hz giving a minimum resolvable length scale of approximately 24 m. The CDP gives a 1 ms resolution 148 149 timestamp for the first 256 particles detected each second (which is sufficient to timestamp 150 every dust particle measured in this work) and the CIP timestamps every one of its images 151 with 0.125 µs resolution. The data from these two instruments were bin-averaged to match

the 10 Hz PCASP data.

153 The CIP was manufacturer calibrated using a spinning disk which passes different sized dots 154 through the laser. Both the PCASP and CDP were calibrated before and after Fennec. In 155 addition the CDP was calibrated on each flying day. Some drift was observed in the PCASP 156 calibrations and the uncertainties used here take this into account. No drift was observed in

- 157 the CDP calibrations. Because the CDP and PCASP make measurements based upon the
- 158 optical properties of particles there is a dependence upon the refractive index and shape of the
- 159 particles. Here these factors are accounted for by assuming spherical particles and Mie
- 160 theory. The calibrations and the optical property corrections utilize the methods of *Rosenberg*
- 161 *et al.* [2012]. The particle refractive index used was 1.53+0.001i which gave the best
- 162 radiative closure between the OPCs and the aircraft nephelometer [*Ryder et al.*, 2013b].
- 163 When converting from particle diameter to particle mass the equations for the volume of a
- 164 sphere are used, then multiplied by the product of an assumed density and shape factor ρS .
- 165 Here we take $\rho S=2.12 \text{ g cm}^{-3}$ as reported by *Sow et al.* [*Sow et al.*, 2009], however we do so
- 166 noting that it is unclear whether the OPC data upon which this value is derived was corrected
- 167 for particle refractive index and also that other authors have used different measured or
- 168 assumed values (e.g. 2.4 g cm⁻³ [*Gillette et al.*, 1974], 2.65 g cm⁻³ [*Tegen and Fung*, 1994]).
- 169 For wind measurements the FAAM BAe-146 utilizes a radome mounted 5-port pressure
- 170 transducer based turbulence probe [*Petersen and Renfrew*, 2009] for angle of attack
- 171 measurements and a pitot probe for airspeed measurements which allows derivation of
- 172 aircraft relative 3-D wind vectors. These are combined with data from a GPS inertial
- 173 navigation unit to provide surface relative winds. This system provides measurements at 32
- 174 Hz, although here the data has been resampled to provide 10 Hz data matched to the PCASP.

175 After the campaign it was found that there was a small linear dependence between measured

vertical wind speed and aircraft attitude. This dependence was likely caused by a small error

177 in the calibration of a transducer and was removed by subtracting a linear fit.

178 **2.2. Eddy Covariance Flux Measurements**

179 The eddy covariance method has been previously used to derive heat, moisture and 180 momentum fluxes from aircraft measurements [e.g. Brooks and Rogers, 2000; Petersen and *Renfrew*, 2009] and a number of different fluxes including total aerosol number from the 181 182 ground [Dorsey et al., 2002]. Here the same method is used to derive size resolved dust 183 fluxes using aircraft measurements. To do so the vertical wind and dust number or mass 184 concentration are separated into a mean value and a deviation from the mean by way of 185 Reynolds decomposition. When applied to dust measurements the appropriate decompositions are 186

187
$$p(t) = \overline{p} + p'(t),$$
 (1)

188 where p is a placeholder for vertical wind component, w, dust number concentration, n, or 189 dust mass concentration, m. Barred symbols represent the mean over a fixed time period and 190 dashed symbols represent the perturbation from the mean over the same period. The flux of n191 is then related to the covariance of n and w such that

192
$$F_n = w'(t)n'(t)$$
, (2)

193 with an equivalent equation for dust mass concentration, m. In this work we perform a linear 194 detrend of the data before the Reynolds decomposition and we time-shift the concentration 195 data by a small amount (less than 1 s) in order to account for position offsets of the 196 instruments along the airframe and maximize the cross correlation with the winds. 197 Detrending reduces contamination of the turbulent flux estimate by mesoscale variations. Because material that has been transported long distances will be well mixed within the 198 boundary layer it will provide small values of n'(t), which will not be correlated with w'(t) so 199 200 will provide negligible flux contributions. However, due to the height of the measurements, 201 some dust transport will occur before the measurements are made. This will certainly affect 202 the magnitude of the dust fluxes measured, and have the potential to affect the largest sizes 203 which may succumb to gravitational settling.

204 Some limitations to the eddy covariance method are particularly relevant to the aircraft 205 platform and the Saharan boundary layer. High frequency measurements are required so that small eddy scales are sampled. As the aircraft is travelling at approximately 120 m s⁻¹ and the 206 207 instrument sampling period is 0.1 s we only sample eddies with sizes ≥ 24 m. It is also of 208 note that turbulent scales are likely to be larger at the aircraft altitude than at ground level. 209 The flight leg over which one flux derivation is made must be long enough to span multiple 210 eddies at the largest eddy scales and to provide sufficiently good counting statistics for the 211 dust measurements. As the Saharan boundary layer can be 5 km deep and the mass 212 concentration may be dominated by a relatively small number of large particles, this can 213 require legs of 50-100 km. Finally, the measurement area should be homogeneous or at least 214 mesoscale variations should be linear with distance. It is clear that there is a trade-off 215 between these requirements as longer legs are more likely to encounter mesoscale variations. In order to achieve the best flux estimates the following principles have been followed. The 216 217 flight data has been carefully selected to avoid mesoscale variation where possible. Care has 218 been taken to derive rigorous uncertainties for the dust flux measurements. Where these are 219 high, size resolved estimates have not been utilized.

220 In order to ensure that both the largest and smallest eddy scales have been measured we plot 221 an ogive curve for each flux leg [*Brooks and Rogers*, 2000]. The ogive is the cumulative 222 integral of the cospectral density, so it is steepest for eddy scales which make a large 223 contribution to the flux. The cospectrum is not used directly because it is noisy, making it 224 difficult to identify the contributing length scales. The integration performed to create an 225 ogive quickly provides a signal which is larger than the noise and the signal to noise ratio 226 continues to improve along the curve. This means an ogive can be usefully plotted on a linear 227 vertical scale and the ogive has therefore become the de-facto standard for analyzing scale 228 dependence of fluxes. If the turbulence is well behaved and all relevant length scales are 229 measured, the ogive should be flat at both ends (the largest and smallest scales) where 230 contributions to the flux are negligible. Here all ogives are normalized to unity at the largest 231 length scales.

One potential problem with measurements of particle fluxes is the non-negligible mass of large particles. There is therefore a downward flux of particles caused by gravitational settling and a deviation of particle velocities from the atmospheric turbulent motion. The fluxes here exclude gravitational settling as this is a deposition process assessed separately in

241
$$\Delta s = w\tau (1 - \exp[-t/\tau(d)]), \qquad (3)$$

where $\tau(d)$ is the aerosol relaxation time as a function of its diameter as per the standard definition for this parameter. The average speed of the particle over this time is then given by $(s - \Delta s)/t$. The inertial correction factor is simply the ratio of the mean particle velocity to the air velocity which, from the above, is found to be

246
$$c_{in} = 1 - \frac{1 - \exp(-R)}{R},$$
 (4)

247 where we define *R* as the particle-eddy interaction parameter given by $s/(w\tau(d))$. Physically the eddy influence can be considered as the dimensionless aerodynamic impact that an eddy 248 249 has upon the trajectory of an aerosol particle. As R increases from zero the turbulent motion 250 has an increasing effect upon the particle trajectory. As one would expect, R increases with 251 increasing eddy size and decreasing particle relaxation time (and hence mass) and vertical 252 velocity. c_{in} approaches unity asymptotically as R increases, and is zero when the eddy 253 influence is zero. For values of R greater than 20, c_{in} is greater than 0.95. As will be discussed 254 later in this paper c_{in} is always close to unity for the measurements presented here and is 255 therefore neglected.

256 2.3.

2.3. Satellite and Model Products

257 In order to effectively interpret the results, data from the Meteosat Second Generation 258 mounted Spinning Enhanced Visible and Infrared Imager (SEVIRI) red-green-blue thermal infrared dust product and Operational forecasts from the Met Office Africa Limited Area 259 260 Model (Africa LAM) were used. These allow the data to be placed in wider scale context. 261 The SEVIRI dust product images presented here show dust as a bright pink color [Brindley et al., 2012]. However the surface is similarly colored to the dust in some locations and the 262 dust-surface contrast depends in part upon temperature contrast and therefore dust height. 263 The dust becomes much more obvious in animations where it can be seen to move against the 264

stationary background. The Africa LAM is a regional simulation using the Met Office

266 Unified Model (UM) [Lock et al., 2000; Davies et al., 2005] and has a 12 km horizontal grid-

- spacing at the equator and 70 vertical levels. Here it is used to provide estimates of near
- surface horizontal wind.

269 **2.4. Uncertainty Calculations**

270 Because of the discrete nature of the particle measurements, counting uncertainty represents a 271 significant contribution to the total uncertainty of the particle concentration, and hence flux. 272 When considering mass flux, this is often the dominant uncertainty source. The contribution 273 by all random uncertainties, including counting uncertainty and noise in the turbulent velocity 274 data, can be assessed by the method described by *Billesbach* [2011]. To do so the 275 concentration measurements are shuffled, which provides a dataset that is uncorrelated with 276 the vertical winds but follows the same probability density function as the unshuffled data. 277 The standard deviation of the cross correlation series of the shuffled data with the vertical 278 winds is equal to the noise level and hence the random uncertainty. The other major 279 contributor to the dust mass flux is the derivation of the mass per particle. As discussed above 280 this is a function of the uncertainties in particle optical properties, shape and density and

281 generates a systematic uncertainty which is likely to be of the order 25 %.

282 3. Synoptic and Geographic Background of the Observed Dust Uplift Cases

Sixteen flights were carried out during Fennec in 2012, eleven of which included
measurements in the heavily dust laden boundary layer. Dust flux measurements from five
flights are presented here. These flights were chosen because the flight patterns used and the
conditions observed permitted measurement of statistically significant dust fluxes. The tracks
of these five flights, along with their flight reference numbers are shown in Figure 1; flight
times, locations and observed conditions are summarized in Table 1. Also labeled on Figure 1
are geographical features which influence dust uplift in the region.

290 Geography clearly has a significant impact upon dust uplift, for example by orographic

- 291 channeling of winds, which has previously been implicated in erosion on various scales
- [*Washington et al.*, 2006; *Netoff and Chan*, 2009], and by determining availability of erodible
- 293 material [Schepanski et al., 2013]. The descriptions here are based on in-flight observations,
- satellite imagery, the Africa AMS Topographic Map Series and information from
- 295 Mauritanian colleagues. Regueibat Rise is an elevated region of bright terrain in northern

296 Mauritania. It is relatively flat with some shallow broad drainage channels running across the 297 surface. A line of dunes penetrate the region from the northeast. Very sparse low scrub was 298 observed over the region, particularly in preserved vehicle tracks, implying that the surface 299 has a low erodability. Regueibat Rise is bordered to the south by a dark series of linear ridges 300 and waddis known as El Hank. The dried up drainage channels here provide a source of loose 301 material and potential for channeling of winds. Some bright mineral deposits in dried lake 302 beds were also observed here. Immediately to the south of El Hank is the Erg Chech sand sea 303 which then blends into the El Djouf Desert. The Erg Chech dunes are oriented approximately 304 NE with spacings of ~1-10 km. Between the dunes, non-sandy dark surface is visible, as are 305 some bright dry lake beds. In Mali at the north-eastern edge of El Djouf is a basin bordered to 306 the north and east by ridges known as Hamada Safia and Hamada El Haricha. Close to Erg 307 Chech, the El Djouf Basin also consists of sand sea, but with closer spaced dunes. Moving 308 southward the dunes become sparser and disappear. All these regions were overflown by the FAAM BAe 146 during Fennec, providing data over sand seas, dry river and lake beds, stable 309 310 soil and steep and shallow terrain.

311 The meteorology of all five flights was influenced by nocturnal low level jets (LLJs). LLJs 312 are formed in this region when deep dry convection halts in the evening, reducing downward 313 momentum transport and therefore reducing the impact of friction upon the lower boundary 314 layer. The resulting force imbalance causes an oscillation about the new equilibrium point, 315 creating a supergeostrophic jet at altitudes of approximately 500 -1000 m [Blackadar, 1957; 316 Van de Wiel et al., 2010]. After sunrise dry convection resumes, mixing the momentum of the 317 jet down towards the surface, which increases both mean wind speed and gustiness [May, 1995; *Knippertz*, 2008]. This plays an important role in dust uplift due to the nonlinear 318 319 relationship with the friction velocity. LLJs are a common feature in the Sahara in Summer 320 [Fiedler et al., 2013; Marsham et al., 2013; Todd et al., 2013]. Schepanski et al [2009] found 321 that 65% of satellite observed dust emission events occur in the 0600-0900 UT period 322 consistent with the time of LLJ breakdown, however this can be regarded as an upper 323 estimate as other dust uplift mechanisms are associated with clouds, prohibiting satellite 324 identification[*Heinold et al.*, 2013; *Kocha et al.*, 2013]. Here, LLJs have simply been 325 identified from the Africa LAM as morning peaks in wind speed between the surface and 1500 m altitude around the Saharan heat low which mix away during the day. The fact that all 326 327 flights presented here are related to LLJ dust uplift does not imply that these are the dominant dust uplift mechanism in the region. The synoptic scales of LLJs make them relatively easy to 328

329 forecast, easy to target with flights and easy to collect data under homogeneous conditions. In

330 contrast dust uplift events caused by cold pool outflow from mesoscale convective systems,

known as haboobs, cannot be easily forecast by models with parameterized convection

332 [Marsham et al., 2011]. This makes them difficult to target. They also exhibit significant

heterogeneity [*Solomos et al.*, 2012] making flux derivations difficult.

Three of the five flights (B600, B601 and B602) occurred on 17th and 18th June 2011 and 334 each followed a very similar flight track. The meteorology on these two days was also 335 336 similar, with the Africa LAM forecasting a broad scale Harmattan Wind linked to a LLJ 337 flowing from Algeria into northern Mauritania and Mali as seen in Figure 2. On the morning of 17^{th} June the wind speed was above 7 m s⁻¹ up to 3 km, with a 16.5 m s⁻¹ maximum at 1 338 km. On the 18th June a similar wind maximum at 1 km and a second wind maximum at 3 km 339 were forecast. In contrast the forecast for midday on the 17th shows a much more well-mixed 340 341 profile. The aerosol optical depth at 550 nm (AOD) measured during the descent was much 342 lower for flight B602 (Table 1). The three flights all consisted of a high level transit followed by descent to the minimum permitted altitude at the farthest southeast point, then a return to 343 344 the northwest at low level. During flights B600 and B601 visibility was poor close to the 345 surface, and the low level legs were at altitudes of between 700-800 m. However the aircraft 346 was able to descend briefly to 500 m during B601. During B602 visibility was better and the low level leg was performed at 100 m above the ground. 347

348 Flight B610 probed the decay of another forecast LLJ on 25th June 2012 over northeast

349 Mauritania during the period just after dawn as seen in Figure 3a). Two reciprocal low level

350 legs were performed at the expense of covering a smaller geographic region than during

351 flights B600-B602. Visibility permitted these legs to be made at 100 m above ground level.

352 Again an upper level (4 km) wind maximum was forecast and observed by the aircraft in

addition to the 18 m s⁻¹ LLJ maximum as seen in Figure 3b. This time the LJJ was less

354 geographically expansive and further north, encompassing the El Hank and Regueibat Rise

355 regions.

356 The final case considered here is flight B613 which consisted of a series of stacked legs in the

357 boundary layer in the afternoon of 26th June. This flight was at the far western extent of an

ast-west LLJ identified in the 0900 Africa LAM forecast (not shown). At the flight location

the 0900 forecast indicated two low level wind maxima (not shown), both of which were

360 weaker than those forecast for the previous cases. The first was of 10 m s^{-1} at 1200 m altitude

and the second of 7.5 m s⁻¹ below 500 m altitude. The winds at the flight time were forecast 361 to be only 3 m s⁻¹ on the lowest model level and well mixed throughout the boundary layer as 362 shown on Figure 3c and 3d. The measurements indicated a slightly larger mean wind speed 363 364 than forecast and also a positive gradient in wind speed with altitude which was not forecast. The gustiness of the wind was particularly notable in this case with $5 - 10 \text{ m s}^{-1}$ peak-to-peak 365 variations of the wind speed within the boundary layer. Because dust uplift is highly 366 367 nonlinear and is generally modeled as being proportional to wind speed cubed once the wind speed has exceeded a threshold, this gustiness is important for potential dust uplift. 368

369 4. Results and Discussion

The results presented here include both size resolved and total fluxes. We first present the size resolved results, then discuss how this size resolved data can be used to link the flux to surface emission. We then present the total fluxes derived by integration of the size resolved data and a flux profile. Finally we discuss the effects of large particles not following streamlines.

375 **4.1. Size Resolved Fluxes**

376 The size resolved nature of the measured dust concentrations enables derivation of size 377 resolved dust fluxes for the flight legs where signal to noise was highest. This was the case 378 for 18 sections of flights B600, B601, B602 and B610. The set of instrumentation, uniquely 379 used to measure dust onboard the FAAM BAe 146, has enabled dust fluxes to be derived 380 over a size range more than an order of magnitude wider than previous published datasets. 381 Analyses of the size distributions themselves are presented by *Ryder et al.* [2013b]. Unique in 382 this case was the use of an OAP for measuring dust particles and some example images 383 showing the largest measured particles are presented in Figure 4.

Kok's [2011a] brittle fracture theory predicts that the measured flux as a function of size is
controlled by cracks which split and merge during excavation of soil by saltator impacts.

$$386 \qquad \frac{dF_n(d)}{d\log(d)} \propto d^{-2} \{1 + \operatorname{erf}[\frac{\ln(d/d_s)}{2\ln(\sigma_s)}]\} \exp[-(d/\lambda)^3] \tag{5}$$

where $F_n(d)$ is the size resolved number flux, *d* is particle diameter, λ is the scale length representing the penetration distance of secondary cracks and d_s and σ_s are the geometric mean and standard deviation of the soil particle size distribution. Transformation of (5) can

390 easily be performed to give a similar function for the size resolved mass flux, F_m . (5) is the product of a power law, a soil function and a scaling function. The power law is predicted by 391 392 brittle fracture theory. The soil function represents the indivisible nature of the fully dispersed soil and causes a reduction in flux at small sizes. Kok [2011a] suggested that a single set of 393 394 values for the two soil parameters ($d_s=3.4 \mu m$, $\sigma_s=3.0$) is consistent with all available dust 395 flux data. The scaling function is chosen to be of a functional form which fits the data; generally an exponential is used [Åström, 2006]. The scale length used by Kok [2011a] was 396 397 12 µm, which was empirically derived by fitting to available flux data. The scale length and 398 soil parameters used by *Kok* [2011a] provided a flux distribution which followed a power law 399 in the diameter range 2-10 µm with lower fluxes for smaller and larger diameters. Kok 400 [2011a] stated that all existing size resolved flux data were compatible with a single set of

401 values for λ , d_s and σ_s .

402 When comparing our results to (5) we group data according to properties which may 403 influence the flux. In one case we group data by terrain type to test for differences in the soil parameters and in another case we group data according to vertical turbulent kinetic energy 404 (VKE) = $\overline{w'^2}/2$ to test for transport effects. We do not attempt to group by wind speed as we 405 have no way to determine surface wind speeds from our measurements at altitude. The 406 407 grouped size resolved fluxes, normalized over the 2-10 µm range, are presented in Figure 5. Also shown on Figure 5 is (5) using the original Kok [2011a] parameters and (5) fitted to the 408 409 datasets using d_s , σ_s and λ as free parameters.

410 The aircraft data near the centre of the distributions fit within their spread to the -2 power law 411 predicted by (5). Because the measurements were made at 60 - 800 m altitude this result 412 indicates that processes such as advection or vertical transport have not modified the shape of 413 the flux distribution for these sizes. The original parameterization fits the data well over the 2-10 µm diameter normalization range where Kok [2011a] suggested the flux should follow a 414 415 power law. However the large particle size cut-off at ~20 µm in the parameterization is not 416 seen in the observations. The extension of the power law beyond 20 µm is somewhat 417 surprising given that particles larger than this diameter can be lifted directly by aerodynamic 418 forces or particle splash [Kok et al., 2012]. Hence we might not expect the brittle 419 fragmentation mechanism to correctly predict the size resolved flux of loose particles above 420 this size. If, however, these particles are aggregates made of material which was bound 421 within the soil, then we would expect the brittle fracture mechanism to apply. The scale

426 At the small sizes we again see an extension of the power law beyond the original 427 parameterization. Best fit values for the soil parameters which control the curve at small sizes 428 are given in Table 2. In all cases the geometric mode is significantly smaller than that given 429 in the original parameterization of 3.4 ± 1.9 µm. We also see differences between the different 430 emission locations. Dune covered terrain provides the lowest relative flux of small particles 431 and the crusted terrain provides the highest relative flux of small particles. The dust flux over 432 Regueibat is fitted by the smallest values of the soil parameters, whereas that over the El 433 Djouf Basin dunes is fitted by the highest values of the soil parameters. These variations are 434 consistent with the expectation that crusted terrain consists of a fine soil particles giving 435 stronger cohesive forces and that dunes consist of coarse soil particles giving low cohesion. The impact of these differences is that the dust flux over the crusted surface of Regueibat 436 437 follows a power law to smaller diameters than over El Djouf Basin dunes. The different VKE 438 ranges show less variability for small particles than the different terrain categories and there 439 is no clear correlation between the best fit soil parameters and VKE as can be seen in Table 3. 440 The results of Sow et al. [2009] show some deviations from our result similar to those of the 441 [Kok, 2011a] parameterization. This is not surprising given that this data set was use in the 442 tuning of the parameterization. We note however, that the Sow et al. [2009] data shows an 443 increased flux at its smallest size. This data point is in better agreement with the 444 measurements presented here than the four points which follow.

445 The large particles, from 5 µm up to 300 µm dominate the mass flux, contributing 90 %. 446 They are ubiquitous in the flux measurements and are not limited to the higher dust loading 447 events. They include particles that would usually be categorized as saltators and not be 448 expected to leave the saltation layer within a few cm of the surface. Although cohesive forces 449 are low for large particles and they can be emitted via direct aerodynamic uplift or via a 450 splash mechanism [Kok et al., 2012], we see in Figure 5 that the flux distribution for these 451 large particles can be effectively modeled by the brittle fracture parameterization if λ is 452 increased sufficiently. Because of poor counting statistics at the larger sizes the uncertainties are large. However the curves for the highest VKE in Figure 5a and b extend to larger 453

454 diameters than the curves for lower VKE. This indicates an influence of vertical transport upon the maximum particle size for which a positive flux occurs. Figure 6 shows how λ 455 456 varies for the three different VKE categories. A clear correlation is seen, consistent with the 457 hypothesis that VKE affects the particle size range over which the dust flux occurs. It is not 458 sufficient, however, to prove a causal link. VKE could, for example, be correlated with peak 459 horizontal winds and hence saltator impact velocities. However, the impact of wind speed 460 upon size distribution is disputed [Alfaro et al., 1997; Kok, 2011b]. If, however, VKE is regulating the flux through transport then the physical interpretation of λ shifts from being 461 462 related to the brittle fracture process itself to being a limit imposed by the dynamics of 463 vertical mixing. This would mean that the maximum diameter of dust emitted into the 464 boundary layer is limited by vertical transport and is independent of the emission mechanism. 465 It is not surprising that the exponential cut-off term in (5) can describe both processes, as it is 466 often used as a proxy for a number of particle size limiting processes in brittle fracture

467 parameterizations [Åström, 2006].

To date no other studies have observed fluxes of such large particles and we suggest some 468 469 hypotheses here to explain this discrepancy. The first possibility is measurement bias. Large 470 aerosol particles are difficult to measure because they are found in low concentrations and 471 tend to be lost to gravitational settling and inertial impaction inside instrument inlets and 472 pipes. Sow et al [2009] reported a cut-off diameter of 40 µm for their sampling system and 473 reported size resolved data up to diameters of 15 µm. Detections of larger particles were too 474 infrequent for use. Gillette et al. [1972] collected particles on a silicone oil covered slide and 475 reported results up to 12 µm diameter. By comparison the FAAM BAe 146 is equipped with open path instrumentation for particles above 3 µm diameter, so avoid sampling system 476 477 losses. This ensures that the maximum measured diameters are limited only by the scarcity of 478 large particles. A second explanation could be that the large mass and terminal velocity of 479 these particles makes it difficult for them to be lifted out of the saltation layer. So close to the surface turbulent eddies are limited in their scale so cannot generate the $\sim 0.1-2.0$ m s⁻¹ 480 481 vertical wind velocities needed to suspend these particles. However upslope winds can 482 generate vertical velocities of this magnitude and flow separation at slope peaks can inject the 483 particles to heights of 10s or 100s of meters. Once at these heights the intense turbulence generated over the Sahara can mix the particles throughout the boundary layer. At 100 m 484 above the Saharan surface the aircraft typically measured $\pm 4 \text{ m s}^{-1}$ peak vertical wind speeds. 485 The slopes involved could be hill and valley systems [Egan, 1984] such as those found at El 486

Hank or dunes [*Schatz and Herrmann*, 2006] such as found in Erg Chech. The tendency to
mount instrumentation away from such features to avoid contamination of results [*Gillette et al.*, 1974; *Sow et al.*, 2009] will have resulted in such an injection mechanism being missed.

The impact of these large dust particles is restricted to the local region. They have terminal 490 velocities of the order 1 m s⁻¹ and hence even when mixed to 5 km altitude they will settle to 491 the surface overnight, although shear driven turbulence or lifting from haboobs are able to 492 493 keep large particles aloft longer [Ryder et al., 2013a]. Despite the fast settling, large particles 494 may have a significant local impact on air quality, visibility, radiative balance, and cloud 495 processes. Because the dust is so large it will act as cloud condensation nuclei (CCN) 496 [Koehler et al., 2009] and even as a Giant CCN. If uplift of such large dust particles is found 497 to exist beyond just the Sahara, then other large human populations will be affected. There 498 will be an impact upon estimates of increased melt rate of glaciers and seasonal snow packs 499 due to dust deposition which has both distant [Sodemann et al., 2006; Painter et al., 2007] 500 and local moraine [Oerlemans et al., 2009] sources. There will also be an impact upon 501 estimates of uplift from soils containing volcanic material, for example in Iceland [Stuart, 502 1927; Arnalds et al., 2001] (i.e. resuspended volcanic ash) where aircraft and surface 503 observations have shown significant uplift [Blechschmidt et al., 2012; Prospero et al., 2012]. 504 Such uplifted dust is likely to present a similar aviation hazard to volcanic ash [e.g. Prata and

505 *Tupper*, 2009; *Drexler et al.*, 2011].

506 **4.2. Flux source and drift velocity**

507 Although surface emission is the initial source of dust, once it has been lifted other nonemission processes may affect the flux, including, particle settling, entrainment and 508 509 differential advection. Particle settling causes particles to become concentrated in the lower 510 atmosphere and generates a concentration gradient. Vertical mixing across this gradient 511 generates a flux which counters the gravitational settling. Entrainment at the boundary layer 512 top generates a flux because the air above the boundary layer generally contains a different 513 (usually lower) concentration of dust to the boundary layer itself. Boundary layer growth and entrainment generates a concentration gradient and mixing across this again generates a flux. 514 515 Finally differential advection can bring together air from different source regions at different 516 altitudes, this air may have different dust concentrations and again mixing across this 517 concentration gradient generates a flux. We mostly expect that flux due to differential

advection will be low, because the boundary layer is well mixed and above the surface layerwe expect the wind to be approximately constant.

- 520 It is possible to distinguish between fluxes generated by emission and these other 521 mechanisms by considering the drift velocity of the dust (also referred to as emission velocity 522 for direct measurements of emission [Dorsey et al., 2002]). Drift velocity is given by $v_d(d) =$ 523 $F_n(d) / n(d)$ and the relationship between $v_d(d)$ and the gravitational settling velocity, $v_s(d)$, is 524 different for each case. In the case where we have a flux generated only by gravitational 525 settling we expect to see either a balanced system where $v_d \approx -v_s$, or an unbalanced system 526 where previous emission has not yet reach equilibrium and hence $v_d < -v_s$. These comparisons 527 apply for all diameters. Where we have a flux due to entrainment of clean air v_d is larger than 528 v_s and as the concentration of particles becomes diluted v_d increases further and hence we 529 expect $v_d \ge -v_s$ for all diameters. The increase in v_d should be particularly large for large 530 particles which are particularly scarce above the boundary layer. For flux due to differential 531 advection we expect no particular relationship between v_d and v_s and may find that v_d is 532 negative. Finally, for emission v_d is initially larger than v_s . However, as the concentration of 533 particles increases, v_d decreases until the atmosphere saturates for a given particle diameter, giving $v_d \approx -v_s$. Because the magnitude of v_s increases with d^2 and because (5) predicts that 534 for particles larger than 1 µm flux decreases as d^2 or faster, we expect that large particles will 535 536 reach a balance with gravity much sooner than small particles. Hence for emission we should find that a saturation diameter, d_{sat} , exists such that $v_d(d) > -v_d(d)$ for $d < d_{sat}$ and $v_d(d) \approx -v_d(d)$ 537 538 $v_d(d)$ for $d > d_{sat}$.
- 539 Figure 7 shows measured drift velocities and calculated settling velocities as a function of diameter for the peak flux measurements of flights B600, B602 and B610. Settling velocities 540 541 were derived assuming standard surface conditions for deriving atmospheric density and 542 viscosity. Settling velocities deviate from a power law at large sizes due to the transition to 543 turbulent flow around the particles, which are assumed to be spherical. We see that smaller particles have $v_d >> -v_s$ and for larger particles $v_d \approx -v_s$. This is consistent only with the recent 544 545 local uplift scenario, it is not consistent with balancing of flux with gravitational settling of 546 particles having undergone long range transport, nor with entrainment of clean air at the 547 boundary layer top. Although we cannot absolutely rule out that the flux is due to differential 548 advection, it seems highly unlikely that in all three of these cases the same pattern would be 549 generated by this mechanism. Of course some horizontal transport of the measured particles

550 must have occurred, as they require a finite time to mix up to the altitude of the aircraft. 551 However, this analysis indicates that the transport time is significantly smaller than the time 552 required to mix emission through the boundary layer. The strong updrafts of a few m s⁻¹ 553 measured in the boundary layer would be expected to perform such mixing on time scales of 554 the order one hour, leading to the conclusion that emission must have occurred within a few 555 tens of km of the measurement location.

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4.3. Total Number Fluxes and Mass Fluxes

4.3.1. B600, B601, B602 Broad Harmattan LLJ

558 Figure 8 shows measured surface and atmospheric parameters as well as the number and 559 mass fluxes derived for the three broad scale Harmattan LLJ flights, B600, B601 and B602. 560 To the south, where the LLJ was forecast to be strongest, the dust number and mass 561 concentrations tended to be the highest. The B600 and B602 flights occurred in the morning closer to the expected time of LLJ breakdown. In these cases highly variable regions of dust 562 563 concentration were associated with peak dust fluxes towards the south of the legs and nearest 564 to the forecast LLJ position. Further north the dust concentration was lower and less variable, but with a few spikes in concentration which may represent small uplift events. These two 565 flights also showed the largest dust fluxes which peaked to the south, with values of 566 $(1.2\pm0.40)\times10^7$ m⁻² s⁻¹ and 0.37 ± 0.18 mg m⁻² s⁻¹ for B600 and $(1.6\pm0.17)\times10^7$ m⁻² s⁻¹ and 567 0.20 ± 0.051 mg m⁻² s⁻¹ for B602. The uncertainty of the B600 peak is large because quickly 568 569 varying conditions along the flight track limited the integration time to only 300 s, compared 570 to 700 s for the B602 peak. The B600 and B602 flux maxima were recorded at the southern 571 and northern perimeter of the El Djouf Basin. Both locations consist of dune terrain and both 572 provide rapid changes in topography of 10s of meters. A second peak of flux was observed 573 over the El Hank region at 23.7° N. Here again large elevation changes were observed in the 574 surface topography and the wadis provide a supply of easily erodible material. There is 575 clearly not a one-to-one correspondence between measured wind speeds and measured fluxes. 576 This is to be expected given the altitude at which the measurements are taken and that the emission which led to our flux measurements is dependent upon surface properties as well as 577 578 wind speed. However all significant fluxes during B600 and B602 occurred in regions where 579 wind speed was above average for the flight. The El Hank region is on the periphery of the jet 580 and it is perhaps surprising to see emission from here, albeit with lower fluxes than to the south. At El Hank the measured wind speeds were $< 10 \text{ m s}^{-1}$ but to the south were $>15 \text{ m s}^{-1}$. 581

582 This region has a much lower albedo than its surroundings and correspondingly higher 583 surface temperatures which must have decreased stability and increased mixing down of 584 momentum [*Marsham et al.*, 2008; *Huang et al.*, 2010]. The complex terrain will also funnel 585 winds. These factors favor dust emission in this region. This may also mean that at the flight 586 time the mixing of momentum was more advanced and that the winds had passed their peak.

587 The afternoon B601 flight after LLJ breakdown was much calmer by comparison. The dust concentration was lower and less variable. This flight shows little flux for all regions and the 588 wind speeds had dropped to $\sim 12.5 \text{ m s}^{-1}$ at the aircraft altitude. The forecast surface speeds 589 seen in Figure 2 were also much lower. The only small but significant rise above zero flux 590 was observed at 21.8° N. This coincides with a dry channel which appears to have once 591 drained from a small basin covering $\sim 500 \text{ km}^2$ to the NE. Such a region is likely to contain 592 593 fluvial deposits available for uplift and also has varying topography. Despite the low flux 594 observed, SEVIRI data in Figure 2 shows the most intense pink dust color at this time. This 595 dust must be previously uplifted, and then mixed up to altitudes where it is more easily

596 identified in SEVIRI imagery.

597 The peak number fluxes measured during B600 and B602 are of a similar order of magnitude

598 to those of *Sow et al.* [2009], which covered a range of approximately $(0.5-5) \times 10^7 \text{ m}^{-2} \text{ s}^{-1}$ for

two monsoon emission events and one convective event. We observed peak values of

600 $dF_n/d\log(d)$ of $(1-2)\times 10^7$ m⁻² s⁻¹ for particles with diameters less than 1 µm. This is within the

for range of approximately $(0.6-10) \times 10^7 \text{ m}^{-2} \text{ s}^{-1}$ observed in a similar size range by *Sow et al.*

602 [2009]. These values are also similar or slightly higher than those presented by *Gillette et al.*

603 [1972]. The peak mass fluxes are also within the range measured using a TEOM mass

balance by *Sow et al.* [2009], giving results which varied from approximately 0.1 to several $mg m^{-2} s^{-1}$.

During the B600 and B601 flights there was a large plume of material seen to extend from Algeria over Mali and Mauritania on SEVIRI satellite imagery. It is not immediately clear how much of the dust was transported compared to that which was locally uplifted. However, the largest observed fluxes are clearly linked to this plume. For flight B602, however, there are more local enhancements in dust seen on SEVIRI imagery, allowing us to qualitatively validate the locations of emission by examining the evolution of SEVIRI imagery. Figure 9 shows a comparison of two SEVIRI images from the morning and afternoon of 18th June

613 2012, before and after the measurements made by flight B602. It is clear that over the

intervening time, two isolated pink regions developed in the region over which the
measurements were made. The first is a stripe down the approximate centre of El Hank and
the second is a in a region which follows the Hamada Safia ridge on the northern edge of the
El Djouf Basin. These two locations correspond to the two B602 peaks observed in Figure 8
at 22.8 and 23.3° N and this correspondence provides good qualitative support of the results.
The SEVIRI detection of dust reaches its peak after the flight because the dust increases in
contrast as it accumulates in the boundary layer and as it is mixed upwards.

621 The ogives presented in Figure 10 show the eddy scales that contributed to the fluxes. For 622 B600 some ogives do not flatten at large wavelengths despite legs of up to 100 km. Such 623 large length scales indicate some contribution of processes other than simple boundary layer 624 turbulence and highlights the difficulty in balancing sufficient counting statistics, covering 625 the lengths scales involved and maintaining homogeneity. The ogives for B602 are well 626 bounded and show contributions from wavelengths of 0.1 to 5 km. This range reflects the fact 627 that the Saharan boundary layer can exceed 5 km in depth. We found that vertical wind 628 spectra follow the Kolmogorov -5/3 power law for eddy length scales between 30 and 400 m. 629 For flight B601 in the late afternoon the length scales are similar to those of B602. It is of 630 some note that even for model runs covering large areas of North Africa, grid-spacings of 631 below 5km have been used [Marsham et al., 2011]. When length scales relevant to turbulent 632 fluxes begin to be resolved there are potential issues with related parameterizations. For 633 example gustiness may become resolved which would impact dust uplift schemes, boundary 634 layer eddies would become resolved impacting transport schemes and if similar scales are 635 important for heat and momentum flux then there may be effects related to parameterizations for these properties [e.g. Wyngaard, 2004; Shin and Hong, 2013]. 636

637 In all of these cases the length scales associated with the flux are greater than approximately 638 0.1 km. Large eddies of this scale are potentially organized features such as rolls or dry 639 convective cells. Such organized structures can include large updraft speeds in the mid 640 boundary layer and will therefore enable efficient mixing of even very large particles through 641 the boundary layer depth. Shpund et al. [2011] simulated the impact of large eddies upon sea 642 spray and moisture. They showed that the large eddies cause large particles to be mixed to 643 greater heights in the boundary layer, reduced the gradients of aerosols and droplets and 644 reduced the gradient of the humidity profile. Here we confirm that these large eddy scales dominate the particle flux at the heights measured. 645

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4.3.2. B610 El Hank LLJ

Figure 11 shows measured values for flight B610, analogous to those discussed for flights 647 648 B600-B602. El Hank is clearly defined by its rugged terrain, its low albedo and a positive 649 surface temperature anomaly of up to 10 K. The dust concentration shows a large amount of 650 variability, with narrow peaks across and just north of El Hank and a broader peak to the north over a valley in Regueibat Rise. As this flight was early in the morning we expected a 651 652 shallower convective boundary layer and smaller eddy scales. Each leg is therefore divided 653 into 240 s (~29 km) sections over which the flux was derived. The ogives in Figure 12 show 654 that we have covered all contributing length scales with this integration period and indicate it 655 could be reduced further. Doing so, however, increases the uncertainty in the measurements 656 so gives no real benefit. Two peaks are seen in the fluxes, at latitudes of 23.5° N and on the earlier southward leg at 25° N. The more northerly peak corresponds to the concentration 657 658 peak above the valley in the Regueibat Rise region where we expect an erodible source of 659 sediments. This peak also corresponds to the highest horizontal wind speeds measured on the legs of 16 m s⁻¹. The small positive surface temperature anomaly in this region may have 660 helped to mix momentum down from the jet. The southern peak was over a valley and ridge 661 662 of El Hank. Again here we expect to find an ample supply of erodible material, preferential 663 mixing of the LLJ momentum due to the positive surface temperature anomaly and funneling 664 of winds.

665 On the northward second leg the dust number flux was reduced over El Hank and had 666 dropped to near zero over Regueibat Rise, although the mass flux over El Hank remained at 667 its previous levels. Although there is scope for significant variation within the uncertainty, 668 this indicates a change in the ratio of large and small particle fluxes. The VKE over El Hank 669 was also found to increase between the first and second legs on B610 by between 12 and 34 670 %. As discussed in 4.1 the change in VKE may be linked to the change in the sizes which 671 contribute to the fluxes. Other possibilities which cannot be verified or discounted by this 672 analysis could include changes in the soil characteristics, perhaps as fine soil was initially eroded exposing coarser soil beneath, or a change in the emission footprint or transport 673 674 distances of the dust contributing to the flux.

The ogives for the flux measurements are given in Figure 12. They show that for the first leg from approximately 09:14 to 09:45 UTC the important length scales were between 0.1 and 1.0 km. On the reciprocal leg between 09:50 and 10:40 the ogives were more variable and

some extended to length scales of 1.2 km. Presumably heterogeneous heating of the surface
and boundary layer growth contributed to the variable increase in length scales. Again these
scales are typical of large scale organized convection as discussed for the broad Harmattan
LLJ case.

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4.3.3. B613 Flux Profile

683 The variation of flux with altitude is expected to be defined by the conditions at the top and 684 bottom of the boundary layer which are a function of dynamics and dust uplift. The surface 685 boundary condition is set by the emission or deposition at this location. The upper boundary 686 is set by the growth rate of the boundary layer and the difference in concentration between 687 the boundary layer and the residual layer or free troposphere above. Between the two 688 boundary conditions we might expect a linear profile of flux with altitude, similar to that 689 observed in other tracers with surface sources such as water vapor [Stull, 1988]. This expectation is true of the small particles which dominate the number flux. The flux profile of 690 691 larger particles may be more complex if they do not follow streamlines.Flight B613 involved 692 multiple legs in the Regueibat region. The flight track incorporated mostly crusted terrain 693 although a depression near the centre of the track contained brighter dune material with 694 consequently cooler surface temperatures. Figure 13 shows the number concentration 695 measured on the profile descent through the boundary layer made when the aircraft entered 696 the area of interest and flux measurements derived from horizontal legs subsequently 697 completed moving back up through the boundary layer. The flux profile is consistent with the 698 linear relationship that is expected. All ogives flatten at both ends indicating we have covered 699 all length scales. The boundary layer top can be seen from the number concentration data at 700 4.5 km. The 0.5 km depth over which the dust concentration decreases is the entrainment 701 zone where clean tropospheric air is being mixed into the boundary layer. In this case the 702 boundary layer is growing slowly in the late afternoon resulting in a near zero flux at the 703 boundary layer top. A moderate flux is observed at 400 m above the surface. Because only a 704 moderate flux was observed the uncertainty for fluxes of particles with diameters greater than 705 1 μ m was generally greater than 100 %. For this reason it has not been possible to use the 706 drift velocity analysis to examine the link to the surface. We found that the small particles all 707 have drift velocities much larger than the settling velocity, indicating that the flux is not 708 simply mixing of particles that have undergone long range transport. The negative gradient of 709 the flux profile indicates that the flux cannot be caused by entrainment of clean air at the

- boundary layer top. Two remaining hypotheses are possible. The first is that the gustiness
 observed in the wind profile in Figure 3d has generated moderate emission. The second is
 that the change in wind speed with height seen in Figure 3d has caused advection of dust
 from different regions at different heights. This could cause a vertical concentration gradient
 and hence a flux. In this case it is difficult to tell which is the most likely scenario.
 Under the assumption that the flux is caused by surface emission, extrapolation of the linear
- fit across the small distance to the surface results in an estimated surface emission of (9.3 ± 1.8)×10⁵ m⁻² s⁻¹. Surface fluxes cannot be derived for other flights as flux profiles were not available.
- 719 **4.4. Impact of neglecting particle inertia**

As discussed previously, particle inertia causes deviation of particles from streamlines and they do not immediately respond to changes in the turbulent wind field. Equation (4) provides a correction factor which may be applied to account for these deviations. The minimum eddyparticle interaction parameter observed is 100, based upon a minimum contributing eddy scale of 0.1 km, the largest velocities of 5 m s⁻¹ and the largest relaxation times of 0.2 s for a 300 μ m particle. This provides a minimum inertial correction factor very close to 1. The impact of inertia is therefore much lower than other uncertainties and has been neglected.

727 It is interesting to consider the impact of particles not following streamlines upon the actual 728 flux as well as upon the measurements. Although at the altitude at which the measurements 729 were made we expect even the largest particles measured to follow streamlines, this may not 730 be the case everywhere in the boundary layer or for even bigger particles. Near the surface 731 the eddy scales are smaller and hence streamlines have a higher. These kinds of variations in 732 particle and atmospheric properties cause changes in R and therefore some or all layers of the 733 atmosphere will provide very low turbulent diffusivity for particles above a threshold 734 diameter. Gravitational settling may make these layers impassible. This provides a possible framework for examining how the height at which particles are injected into the atmosphere 735 can affect the maximum size of particles which are mixed through the boundary layer and 736 737 hence the value of λ derived in this work. The measurements made here are not sufficient to 738 test such a model; modeling of the surface layer may provide some insights. Based upon this 739 framework, however, we expect that the flux of large particles and estimates for λ could only 740 increase for measurements at lower altitudes.

741 **5.** Conclusions

742 The first measurements of size resolved dust fluxes from an aircraft and the first dust flux 743 measurements from the heart of the Sahara are presented. The benefits of the aircraft platform 744 include an ability to access remote areas, cover multiple regions with different surface 745 properties affecting dust uplift and the ability to target features of meteorological interest. 746 One particular difficulty is the speed at which the aircraft travels, which impacts its ability to 747 get good counting statistics within a heterogeneous region and measure small scale 748 turbulence. The other important difficulty is the limit on the lowest altitude at which the 749 measurements can be made, which is dependent upon visibility and affects the ability to link 750 measured fluxes to surface emission without flux profiles being taken. Careful calibration of 751 the instruments and appropriate refractive index corrections of the optical particle counters 752 have ensured that continuous distributions of size-resolved particle fluxes have been possible 753 from $\sim 0.1-300 \,\mu\text{m}$ diameter, with the upper size only limited by the scarcity of large 754 particles. This size range is more than an order of magnitude larger than has been achieved in 755 previous studies. The methods used have been shown to be robust with ogives indicating that 756 all turbulent scales are captured and with emission locations consistent with SEVIRI satellite 757 observations. Analysis of the size dependant particle drift velocity and comparison with the 758 particle settling velocity provided validation that measured flux was linked to recent local 759 emission. Positive validation was provided for the three cases closest in time and space to 760 breakdown of a low level jet. The remaining two cases, including a flux profile, had lower or 761 near zero fluxes and the number flux of large particles was not great enough to indicate 762 whether the flux in this case was due to local emission. The methods used here are not necessarily limited to dust; particle fluxes of other aerosol types could be measured by the 763 764 instrument suite on board the FAAM BAe 146 aircraft.

765 The large measurement range has allowed us to show that the mode in the dust mass flux is at diameters of 30-100 µm and these large particles provide approximately 90 % of the mass 766 767 flux. This was observed in moderately dusty conditions and in high dust concentrations, i.e. it was a ubiquitous feature of the emission not confined to large events. The largest of these 768 769 particles would usually be regarded as saltators and not be expected to be lifted above a few 770 cm from the surface. We hypothesize that these particles may be injected to 10s or 100s of 771 meters above the surface by flow separation at ridges, cliffs or sand dunes. Once at this height the intense turbulence above the Sahara with typical vertical velocities of $\pm 4 \text{ m s}^{-1}$, even at 772

100 m above the surface, is able to suspend these particles and mix them throughout theboundary layer.

775 The Kok [2011a] model of brittle fracture was able to represent the flux size distribution for particles with diameters between 1 and 20 µm. This parameterization predicts a power law 776 777 distribution with deviations controlled by the geometric mean diameter and standard 778 deviation of the emitting soil, d_s and σ_s , and an empirical scale parameter, λ which causes 779 drop off at large diameters. The power law distribution in our measurements extended to 780 much larger sizes than suggested by *Kok* [2011a] with values of λ of 43 – 144 µm compared 781 to the Kok [2011a] suggested value of 12 μ m. The best fit value of λ correlated well with 782 atmospheric VKE indicating that λ may represent a cut off controlled by atmospheric 783 turbulent transport rather than the emission process itself. Although this interpretation of λ is 784 due to a completely different mechanism to that suggested by brittle fracture theory, the 785 empirical nature of the scale parameter and scaling function means it is unsurprising that the 786 same functional form fits this data. Another possible explanation for the correlation of λ with 787 VKE would be a correlation of VKE with another atmospheric parameter (e.g. near surface 788 wind speed) which could affect the emission process directly, although such correlations are 789 not well constrained [Alfaro et al., 1997; Kok, 2011b]. The scale of turbulence was also 790 shown to affect the flux and measurement of flux when turbulent scales are small and air 791 motion is fast, because large particles are not able to follow the streamlines. It was shown that 792 for the measured conditions the impact was negligible, however this mechanism may 793 contribute to limiting of the maximum emitted particle diameter in other atmospheric layers. 794 The soil related parameters were required to be much smaller than the values found by [Kok, 795 2011a] in order to fit our measurements. The surface type affected the flux at small sizes with 796 best fits of d_s and σ_s varying by factors of 2 and 1.5 respectively. The largest values of d_s and 797 σ_s were found over dunes and the smallest over stable terrain. The lack of previous 798 measurement of fluxes of such large particles may be because of the difficulties in measuring 799 such particles with inlet based instruments or could be because of the hypothesized 800 dependence upon topography which is usually avoided when making flux measurements. 801 The impact of emission of such large particles will be on local scales due to their high 802 deposition rate. However, they may still affect local radiative transport and cloud processes

803 where they can act as (giant) cloud condensation nuclei. If large particle emission is

804 important in regions outside the Sahara then the impact will extend to diverse fields such as

805 estimates of air quality in populated areas, aviation hazards from resuspension of volcanic ash806 and enhancement of glacier and snow pack melting by albedo reduction.

- 807 All the cases presented here were linked to nocturnal low level jet (LLJ) breakdown phenomena. However, this is a biased sample because LLJs are relatively easy to forecast and 808 809 target compared to haboobs. Despite the altitude at which the measurements were made the magnitude of the number and mass fluxes presented here are consistent with or slightly larger 810 811 than the size resolved number fluxes measured by Sow et al. [2009] and Gillette et al. [1972] 812 and the total mass fluxes measured by Sow et al. [2009]. We found peak number fluxes, F_n , of $(0.7-1.6) \times 10^7 \text{ m}^{-2} \text{ s}^{-1}$, peak mass fluxes, F_m , of 0.20-0.37 mg m⁻² s⁻¹ and peak values of 813 $dF_n/d\log(d)$ of $(1-2)\times 10^7$ m⁻² s⁻¹. For each case the dust flux was found to be highly variable 814 815 as a function of location. All the highest measurements of dust number flux made during Fennec occurred over regions of varying topography. Although this is consistent with the idea 816 817 that flow separation is important in lifting particles out of the surface or saltation layer, this 818 work cannot explicitly make a causal link. The correlation may instead be due to the 819 abundance of erodible material at these sites or impacts of the terrain upon local meteorology, 820 such as funneling of winds.
- We found that for the relatively simple case where we had emission at the surface and slow
 growth of the boundary layer into a relatively clean free troposphere the flux varied linearly
 with altitude, as expected.
- 824 Ogives were used to probe the important length scales over which dust flux occurred. The important length scales for mixing of dust were found to be between 1 and 0.1 km for the 825 earliest measurements of LLJ breakdown at approximately 09:00 UTC. Later in that same 826 827 flight, around 10:00 UTC, the largest important length scales had increased slightly, to 828 approximately 1.2 km and the ogives were more variable. In other flights later in the morning 829 well bounded ogives covered scales up to 10 km. Some ogives did not flatten at large scales 830 even out to 50 km, probably indicating mesoscale contamination. By late afternoon the 831 largest important scales had reduced to 1.4 km. Given that regional research models over the Sahara have been run at 1.5 km we are entering a "gray zone" where some of this flux is 832 833 resolved by the model dynamics.
- 834 These measurements have prompted a number of hypotheses detailed here. Testing of these
 835 hypotheses and assessing of the impact of these findings requires further modeling and

- 836 measurement work. Some particular challenges include testing of how flow separation affects
- 837 vertical mixing of dust compared to mixing of particles (particularly large particles) from a
- 838 flat saltation layer and how VKE and the eddy-particle interaction parameter affects
- 839 subsequent upward transport. The global importance of such processes for large particles is
- 840 linked to the transport distances of these large particles and assessment of whether emission
- of such large particles is ubiquitous or occurs only in the Sahara's intense dry convection.
- 842 These challenges will require the use of regional, global and large eddy simulations together
- 843 with observations of transported material [e.g. *Ryder et al.*, 2013a].

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856

Flight	Date	Entered Area	Left Area of	Altitude Range	AOD ^{**}	Conditions	Location
Number		of Interest	Interest (UTC)	Above Surface [*]			
		(UTC)		(m)			
B600	17/6/2011	10:10:00	11:12:35	680-800	2.24	Harmattan wind with	Regueibat Rise, El Hank, Erg
B601	17/6/2011	16:57:00	18:19:03	720-820	3.08	LLJ features breaking	Chech, El Djouf Basin
B602	18/6/2011	10:18:08	11:27:27	110-70	1.07	down during the day	
B610	25/6/2011	08:57:22	10:39:33	60-150	0.52, 1.75	Narrow LLJ	Regueibat Rise, El Hank, Erg
							Chech
B613	26/6/2011	15:17:47	17:31:16	70 -4300	0.55, 0.55	Stacked legs in light	Regueibat Rise
						wind after LLJ	
						breakdown	

858 Table 1. Summary of flights for which dust fluxes have been derived

859

*Measured by a radar altimeter (surface referenced) for heights below 2000 m or by GPS (ellipsoid referenced) above 2000 m. Only heights

861 where fluxes were measured are included.

862 **Aerosol optical depth at 550 nm (AOD) was derived by integration of aircraft measurements during profiles between the transit altitude (~8

km) to the lowest flight level. The first measurement for each flight was made as the aircraft entered the area of interest, the second value (whereavailable) was made as the aircraft left the area of interest.

866	Table 2.	Soil	related	best	fit	parameters	for	different	overflown	regions
000	10010 -0	~ ~ ~		0.000		p			0.0110.011	

Region	d_s (µm)	σ_s (µm)
El Hank	0.46 ± 0.06	1.8±0.2
Erg Chech and El Djouf Basin (dunes)	0.78 ± 0.2	2.5±0.3
El Djouf Basin (dune free)	0.61 ± 0.09	2.1±0.2
Regueibat	0.38±0.05	1.6±0.2

Table 3. Soil related best fit parameters for different values of V

Region	<i>d</i> _s (µm)	σ_{s} (µm)
0.35 < VKE < 0.6	0.54±0.09	1.8±0.2
0.6 < VKE < 0.85	0.74 ± 0.2	2.7±0.5
0.85 < VKE < 0.10	0.53 ± 0.07	2.0±0.2





Figure 1. Aircraft tracks overlain upon cloud filtered satellite imagery [*Reto et al.*, 2005]

labeled with geographical features of note. Bold lines show aircraft tracks below 6.0 km in
the area of interest and fine dashed lines show tracks for higher altitude flying, takeoffs and

landings. The overlain northern end-points of the low-level tracks of B600 and B601 are

within 0.5 ° of the northern end-point of the visible B602 low-level track.

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879

Figure 2. False color SEVIRI images for 0900 UTC (a), 1200 UTC (b) from the 17th June 2011 and 0900 UTC on the 18th (c) overlain with wind vectors forecast by the Africa LAM operational model on its lowest level (10 m). The white contour is at 8.0 m s⁻¹. Colored lines show aircraft tracks as in Figure 1. Dust is evident as a pink color and can be seen to form a band stretching from Algeria to the Mali/Mauritania border during the 17th corresponding to regions of high forecast winds. Panel (d) shows the forecast wind profiles at the most SE point of the aircraft track at the same times as panels (a)-(c).

888



889

890 Figure 3. Images (a) and (c) show false color SEVIRI images overlain with forecast Africa

LAM wind vectors and the white 8 m s⁻¹ contour as per Figure 2, for times corresponding to flights B610 and B613. Colored lines show aircraft tracks as for Figure 1. Images (b) and (d)

show forecast Africa LAM wind profiles at the same times as (a) and (c) respectively, with

894 corresponding measured wind profile from the aircraft descents.



896

Figure 4. Example images of large dust particles collected by the CIP15 probe during flight
B601. The image resolution is 15 μm and the height of each strip is 960 μm. Note that the
CIP only records pixels when a particle is in the field of view so horizontal spacing in the

900 images is not representative of actual particle spacing.

901



903

Figure 5. Size resolved number and mass fluxes from flights B600, B601, B602 and B610.

All plots show the gray dashed line, which indicates the range of all positive dust fluxes

906 measured during the flights, and the black dashed curve which is the unaltered *Kok* [2011a]

parameterization. Open circles are the measurements by [Sow et al., 2009]. Colored curves

908 represent the same parameterization but fitted to the measurements, shown in the same

- 909 colors. For (a) and (c) each colored curve represents the average flux distribution for different
- 910 ranges vertical turbulent kinetic energy (VKE). Units of VKE in the legend are $m^2 s^{-2}$. For (b)

- 911 and (d) each curve represents the average flux distribution measured over a different
- 912 geographical region. Measurements with uncertainty larger than 100 % have been omitted
- 913 from the plots for clarity.



915 Figure 6. Variation in the parameter λ for the fits in Figure 5a and 5c as a function of VKE.



Figure 7. Drift velocity as a function of particle diameter for the peak flux measurements of
flights B600, B602 and B610. Points with uncertainties greater than 100 % have been
omitted. Data from Flights B601 and B613 are not shown due to their low fluxes. The line
shows an estimate of the particle settling velocity. Drift velocities and settling velocities are
both given positive signs for comparison despite their opposite directions.

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Figure 8. Data collected during long low level legs of flights B600 and B601 on 17th June 928 2011 and B602 on the 18th June 2011. The minimum altitude was mostly limited to 800 - 900 929 930 m due to low visibility on the 17th, however between 22.2 and 22.4° during flight B601 the 931 aircraft was able to briefly descend to 500 m altitude. Flight B602 was performed at 932 approximately 100 m altitude. All line charts are 1 s averages, except mass concentration 933 which is a 4 s average to reduce noise. Approximate locations of surface features are labeled 934 above the panels with Hamada Safia and El Hank represented by a grey line and bar 935 respectively.





Figure 9. SEVIRI false color image for 0900 UTC (a) and 1400 UTC (b) on the day of flight

B602. An increase in pinkness representing local dust uplift or upward vertical mixing of dust

940 can be seen over El Hank and over Erg Chech at the northern edge of the El Djouf basin. The

- 941 flight track for Flight B602 is overlain as per Figure 1. An animation showing the change is
- 942 included as supplementary material.



944

Figure 10. Ogives from flux measurements of the B600, B601 and B602 Harmattan wind

cases. Each ogive represents one flux measurement and the steeper regions of the curvesindicate the scales which contribute to the flux. The ogives have been normalized to unity at

the largest wavelength. Ogives are only plotted for flux measurements where the uncertainty

is less than 100 %, i.e. where there is a statistically significant flux.

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Figure 11 Aircraft measured parameters during the two low level legs of flight B610
investigating mixing of momentum from a nocturnal low level jet. All line charts are 1 s
averages, except mass concentration which is a 4 s average to reduce noise. Approximate
locations of surface features are labeled above the panels with El Hank represented by a grey
bar.



959

960 Figure 12. Ogives for each flux measurement of the LLJ flight B610. One ogive has been

961 omitted because the equivalent flux measurement had a very high relative uncertainty. All

962 curves have been normalized to unity at the largest wavelength. The steepest regions indicate

963 the scales which contribute most to the flux.





Figure 13. Profile of aerosol number concentration measured during the descent made at the
beginning of flight B613 and dust fluxes measured during 4 legs at different altitudes during
the same flight. The solid line is a weighted linear fit and the dashed lines show the fit
uncertainties.

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