

The effect of pressure on the elastic properties
and seismic anisotropy of diopside and jadeite
from atomic scale simulation

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Abstract

1 The full elastic constants tensors of diopside ($\text{CaMgSi}_2\text{O}_6$) and jadeite ($\text{NaAlSi}_2\text{O}_6$)
2 have been calculated using a planewave and pseudopotentials based implementation
3 of density functional theory within the generalised gradient approximation at pres-
4 sures between 0 and 20 GPa. Both minerals stiffen over this pressure range with the
5 isotropic average bulk moduli increasing by $\sim 50\%$ and the shear moduli by $\sim 20\%$.
6 However, in detail the behaviour of the individual elastic constants varies and this
7 drives changes in the anisotropy. Overall, and in contrast to predictions based on
8 the extrapolation of calculations based on inter-atomic potential models, the elastic
9 anisotropy of diopside decreases with increasing pressure. The elastic anisotropy of
10 jadeite increases slightly at low pressure, exhibits a maximum at around 10 GPa and
11 then begins to slowly decrease. Despite the small changes in the total and maximum
12 anisotropy, the shear-wave anisotropy for certain propagation directions vary dra-
13 matically with pressure. For example, the anisotropy experienced by a shear-wave
14 propagating in the $[010]$ direction in diopside doubles between 5 and 15 GPa.

15 *Key words:* Elasticity, Clinopyroxene, Shear-wave splitting, Density functional
16 theory

17 **1 Introduction**

18 Many of the strongest constraints on the composition and behaviour of the
19 Earth's interior come from seismic observations. When combined with a data-
20 base of the elastic properties and densities of Earth materials under the rele-
21 vant conditions of high pressure and temperature, the travel times of seismic

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22 waves provide insight on the variation of temperature and composition of the
23 deep interior. Furthermore, knowledge of the full elastic constants tensor can
24 be used to interpret the different travel times of perpendicularly polarised
25 shear waves in terms of a crystal preferred orientation caused by convectively
26 driven deformation. To make best use of our growing seismic data sets, it
27 is necessary to know how the elastic constants, C_{ij} (throughout this article
28 contracted Voigt notation is used to express the fourth-order elastic stiffness
29 tensor as a 6×6 matrix), and density, ρ , of Earth materials vary with pressure,
30 P , temperature, T , and chemistry. Knowledge of the effect of pressure on the
31 elasticity of the clinopyroxenes is currently lacking (Mainprice, 2007).

32 The monoclinic (space group $C2/c$) clinopyroxenes diopside ($\text{CaMgSi}_2\text{O}_6$) and
33 jadeite ($\text{NaAlSi}_2\text{O}_6$) share the same chain silicate structure and, at elevated
34 temperature, form a solid solution. Up to 20% of fertile upper mantle peri-
35 dotite is formed from diopside rich clinopyroxenes which are lost on partial
36 melting (Herzberg, 1995), and these minerals form the majority component of
37 subducted Mid-Ocean Ridge Basalt (MORB) to ~ 10 GPa where they dissolve
38 into garnet (Irifune et al., 1986; Irifune and Ringwood, 1993). However, the
39 elastic constants of diopside and jadeite are only known from experiments at
40 low pressure. C_{ij} for nearly end-member diopside and jadeite have been deter-
41 mined under ambient conditions from Brillouin spectroscopy by Levien et al.
42 (1979) and Kandelin and Weidner (1988), respectively. The elastic properties
43 of pyroxenes with intermediate compositions have been measured by Bhagat
44 et al. (1992) and Collins and Brown (1998), who found that most (but not all)
45 of the individual elastic constants follow a linear mixing law across the com-
46 positional range. This analysis was extended by Isaak and Ohno (2003) who
47 measured the elastic constants of a chrome-diopside which, when projected

48 onto the jadeite-diopside join, plot close to the predicted linear relationship
49 for all of the elastic constants apart from C_{66} , C_{13} and C_{15} . In light of this,
50 Isaak and Ohno (2003) argue that these elements of the elastic constants ten-
51 sor of end-member diopside warrant revisiting.

52 The effect of temperature on the elasticity of diopside has been measured by
53 Isaak et al. (2006), who found that the temperature dependence was small.
54 Indeed, the temperature induced softening of the polycrystalline averages for
55 the bulk and shear moduli were the smallest measured for any of the main
56 mantle minerals. The individual measured values of $(\partial C_{ij}/\partial T)_P$ indicate that
57 the elastic constants generally soften by $\sim 10\%$ with a temperature increase
58 of 1000 K, but it is notable that C_{15} , C_{25} and C_{46} significantly stiffen with
59 increasing temperature. Recently, Li and Neuville (2010) have measured the
60 velocity of ultrasonic waves propagating through polycrystalline aggregates of
61 diopside as a function of temperature and pressure to 1073 K and 8.4 GPa.
62 This data permits the recovery of the average bulk and shear moduli along
63 with their temperature and pressure derivatives. The measured temperature
64 derivatives are within error of the averaged values of Isaak et al. (2006) and
65 the bulk modulus and its pressure derivative is broadly compatible with scat-
66 tered results from compression experiments (Levien and Prewitt, 1981; Zhang
67 et al., 1997; Zhao et al., 1998; Tribaudino et al., 2000; Thompson and Downs,
68 2008). Although these data can be used to interpret the seismic wave veloci-
69 ties in much of the upper mantle, averaged elastic moduli are not sufficient for
70 the analysis of seismic anisotropy: the pressure derivatives of the individual
71 elements of C'_{ij} are required.

72 In the absence of experimental data, it is reasonable to turn to atomic scale
73 simulation to provide knowledge of the mineral properties needed to interpret

74 seismological observations. In a recent review, Mainprice (2007) noted the lack
75 of measurements of $(\partial C_{ij}/\partial P)_T$ for diopside and recommended the use of the
76 results of an atomic scale study by Matsui and Busing (1984). This study
77 used interatomic potentials derived from the experimental structure and elas-
78 tic constants of diopside to describe the forces acting between model atoms.
79 In detail, the potential model predates many important advances towards
80 transferable models for atomic interactions in silicates (e.g. polarisable shell
81 models, suitably flexible SiO_4 groups; see Dove, 1989, for further discussion).
82 A further difficulty with the use of these results is more fundamental to any
83 interatomic potential — however well the model reproduces known experi-
84 mental data, it is not easy to predict the limits beyond which the results of
85 this kind of extrapolative model become unreliable. Perhaps in recognition of
86 this Matsui and Busing (1984) limited their calculations to only 5 GPa; some
87 diopside is expected to persist in the mantle to ~ 20 GPa. In the current work
88 the elastic constants of diopside and jadeite were predicted at the atomic scale
89 whilst avoiding the use of a parameterised model to describe the interactions
90 between atoms. Instead, the interatomic interactions were calculated by con-
91 sidering the distribution and interactions of the electrons in the system. This
92 sidesteps the dangers associated with the extrapolation of a simple potential
93 model fitted to selected experimental results.

94 **2 Methodology**

95 Density functional theory (DFT: Hohenberg and Kohn, 1964; Kohn and Sham,
96 1965) was used to calculate the elastic constants and seismic wave velocities
97 of diopside and jadeite to elevated pressure. DFT allows the calculation of

98 the forces acting between atoms, and thus the equilibrium structure and de-
99 rived properties, of materials by finding an approximate solution to the many
100 body time-independent Schrödinger equation for a collection of electrons in
101 the potential field of their nuclei. Importantly, this is done without the need
102 to evaluate the full many-body wave function for a system of interacting elec-
103 trons. Instead, all ground state properties, such as the cohesive energy, are
104 functionals of the electron density. The current study builds on the previous
105 calculations of the athermal equation of state of diopside reported by Walker
106 et al. (2008). In common with the previous study, the semi-local gradient cor-
107 rected (GGA) functional of Perdew et al. (1996) was used as an approximation
108 to the (unknown) exact exchange correlation functional. Ultrasoft pseudopo-
109 tentials were used to describe the core electrons and nuclei with electrons in
110 the 2s, 2p, and 3s levels in Na, the 3s and 3p levels in Al, the 2s and 2p levels
111 in O, the 2p and 3s levels in Mg, the 3s, 3s and 3p levels in Si, and the 3s,
112 3p and 4s levels in Ca treated as belonging to the valence. Further details of
113 the pseudopotentials can be found in Walker et al. (2008). The valance elec-
114 trons were described using a planewave basis expansion that includes all waves
115 whose kinetic energy is less than a cutoff energy threshold. Following conver-
116 gence testing (see Walker et al., 2008, and the Supplementary Information) a
117 planewave cutoff of 700 eV was used for all calculations. The Brillouin zone
118 was sampled with a $2 \times 2 \times 3$ Monkhorst-Pack grid (Monkhorst and Pack,
119 1976). All calculations were performed using version 5.0.2 of the CASTEP
120 code (Clark et al., 2005).

121 There are a number of approaches that can be taken to extract elastic con-
122 stants from atomic scale calculations. Perhaps the most direct approach in-
123 volves writing the elastic constants in terms of the second derivative of the

124 energy with respect to the lattice parameters (Nye, 1985), calculating the
125 derivatives and from these C_{ij} :

$$126 \quad C_{ij} = \frac{1}{V} \left. \frac{\partial^2 H}{\partial \varepsilon_i \partial \varepsilon_j} \right|_{P,T=0}, \quad (1)$$

127 where V is the unit cell volume, H is the unit cell enthalpy and ε is a strain
128 (expressed as a 6-element vector). This approach is common in calculations
129 using parameterised models for the interatomic potential where the required
130 second derivatives are readily available (Gale and Rohl, 2003). The derivatives
131 can also be calculated using density functional perturbation theory (Hamann
132 et al., 2005), but the use of ultrasoft pseudopotentials make the implemen-
133 tation of this approach particularly challenging. Examples of the use of this
134 method for Earth materials are comparatively sparse, but include Caracas and
135 Bagigan (2009) and Caracas and Boffa Ballaran (2010). A common alternative
136 approach is used here. Instead of calculating the second derivatives of the en-
137 ergy for an undeformed crystal, small strains are imposed on the equilibrium
138 lattice vectors and the resulting stresses are calculated. If the strains are suf-
139 ficiently small to ensure the deformation is in the linear regime, Hooks law is
140 valid and the constants of proportionality between the stresses, σ , and strains
141 are elastic constants:

$$142 \quad \sigma_i = C_{ij} \varepsilon_j, \quad (2)$$

143 which can be calculated by linear regression. There are many examples of this
144 approach in the literature with Wentzcovitch et al. (1995, 2004), Karki et al.
145 (1999), Stackhouse et al. (2005), Perger et al. (2009), Mookherjee and Steinle-
146 Neumann (2009) and many others using this method with DFT to evaluate
147 elastic constants of Earth materials (see Karki et al., 2001).

148 Practically, calculating the elastic constants involves a number of steps. First
149 the equilibrium lattice vectors and atomic positions are determined at the
150 pressure of interest by performing an energy minimisation allowing the atomic
151 positions and lattice vectors to vary. It is critically important that the min-
152 imum energy configuration is determined accurately otherwise the stresses
153 calculated in the next step can be significantly in error. For the calculations
154 reported here, this was achieved by tightening the various convergence criteria
155 used to stop the geometry optimisation algorithm compared to the settings
156 used for the athermal equation of state calculations. The stopping condition
157 was that the change in total energy, ionic forces, ionic displacements and all
158 components of the calculated stress were less than 2×10^{-7} eV/atom, 1×10^{-3}
159 eV/Å, 1×10^{-3} Å and 1×10^{-3} GPa, respectively, and that these conditions
160 were met for at least two consecutive optimisation steps. This, in turn, required
161 tight convergence criteria for the electronic optimiser (total energy change less
162 than 1×10^{-8} eV for three consecutive steps). The second step is to strain the
163 unit cell by a small amount and perform a second geometry optimisation, this
164 time with fixed lattice vectors. Once the minimum energy atomic co-ordinates
165 have been found the constant of proportionality relating an element of the
166 stress tensor of the strained cell with an element of the applied strain tensor
167 is an elastic constant. By applying several different strains, the full elastic
168 constants tensor can be determined. Symmetry is used to speed up both types
169 of geometry optimisation calculation. In the first stage symmetry operators
170 of the $C2/c$ are applied, in the second stage only the smaller set of operators
171 which remain after the application of the homogeneous strain are used.

172 Symmetry means that the elasticity of monoclinic diopside and jadeite can be
173 described by 13 independent elastic constants rather than the 21 constants

174 needed in in the most general triclinic case. This, in turn, means that all the
 175 elastic constants can be extracted from four patterns of strain, rather than the
 176 six strain patterns needed for the general case. The four chosen strain patterns
 177 (others are possible) are:

$$\begin{aligned}
 178 \quad \varepsilon^1 = \pm\delta \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \frac{1}{2} \\ 0 & \frac{1}{2} & 0 \end{pmatrix}; \varepsilon^2 = \pm\delta \begin{pmatrix} 0 & \frac{1}{2} & 0 \\ \frac{1}{2} & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}; & \quad (3) \\
 179 \quad \varepsilon^3 = \pm\delta \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \varepsilon^4 = \pm\delta \begin{pmatrix} 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 \end{pmatrix}; &
 \end{aligned}$$

180 where a superscript is used to denote the pattern index and δ is the strain
 181 magnitude. For each pattern three positive and three negative strain magni-
 182 tudes are imposed and linear regression is used to find the elastic constants
 183 from Equation 2. The use of six different strains for each pattern allows an
 184 estimate of the error on each elastic constant arising from inaccuracies in the
 185 calculated stresses (arising, for example, from the finite convergence criteria)
 186 and any non-linearity in the stress — strain curve. These errors can be propa-
 187 gated into values derived from the elastic constants, such as the polycrystalline
 188 averaged bulk and shear moduli. The fitting errors increase if the applied strain
 189 is too large and Hook’s law begins to break down or if the strains are too small
 190 and the calculated stresses become small compared to the errors due to the

191 chosen convergence criteria. In the current work, it was found that the errors
192 were acceptably small if strains equivalent to changing the length of a lattice
193 vector by ± 0.0333 , ± 0.0666 and $\pm 0.1 \text{ \AA}$ were used.

194 **3 Results**

195 The evolution of the calculated unit cell volume of jadeite and diopside as a
196 function of pressure is shown in Figure 1 alongside a range of experimental
197 measurements. It is immediately apparent that at all pressures the cell volume
198 of both minerals is overestimated by the DFT calculations. This is the result
199 of a known defect of the GGA which commonly “under-binds” giving bond
200 lengths that are too long, cell volumes that are too large, phonon frequencies
201 that are too small and elastic constants that are too soft. However, the deriva-
202 tives of the energy with inter-atomic separation are typically well reproduced.
203 This allows the application of an empirical correction to the applied pressure
204 to correct for the under-binding, yielding results which can more easily be
205 compared with experiment (e.g. Vanderbilt, 1998; Oganov et al., 2001). In
206 the previous study of diopside Walker et al. (2008) used empirical pressure
207 correction of 4.66 GPa. For jadeite, the necessary pressure correction is found
208 to be 4.30 GPa. Once this correction has been made, the DFT results are in
209 good agreement with the experimental results with calculated cell volumes co-
210 inciding with the experimental measurements across the whole pressure range
211 (Figure 1). The results of fitting third-order Birch-Murgahan equations of
212 state to these data are $V_0 = 401.3 \text{ \AA}^3$, $K_0 = 128.8 \text{ GPa}$ and $K'_0 = 3.8$ for
213 jadeite and $V_0 = 439.1 \text{ \AA}^3$, $K_0 = 122.0 \text{ GPa}$ and $K'_0 = 4.7$ for diopside
214 (Walker et al., 2008). For jadeite, experimental data gives $V_0 = 402.26 \pm 0.02$

215 \AA^3 , $K_0 = 134.0 \pm 0.7$ GPa and $K'_0 = 4.4 \pm 0.1$ (Nestola et al., 2006) and
216 $K_0 = 124.5 \pm 4.0$ assuming K'_0 of 5.0 (Zhao et al., 1997) with the differences
217 attributable to the trade-off between V_0 , K_0 and K' and the difference in
218 pressure range between the data sets.

219 A more stringent test of the calculations is to compare the atomic positions
220 and lattice vectors with experimental measurements. For diopside agreement
221 is excellent and is described in Walker et al. (2008). For jadeite the recent
222 low temperature structure refinement of neutron powder diffraction data per-
223 formed by Knight and Price (2008) can be used as a reference. Because this
224 is at very low temperature (1.5 K for the lattice vectors and 2.4 K for the
225 atomic positions), vibrational corrections to the results from the static DFT
226 calculations can be neglected. After the pressure correction for jadeite, all
227 atomic positions agree with experimental data to better than 0.002 fractional
228 units (~ 0.02 \AA) and length of the cell parameters, and β angle, are consistent
229 with the experimental measurements to ~ 0.01 \AA or $\sim 0.1^\circ$. Further details,
230 including a full analysis of the evolution of the jadeite crystal structure with
231 pressure, are given in the Supplementary Information.

232 The calculated elastic constants of diopside and jadeite are compared to am-
233 bient pressure experiments in Tables 1 and 2. DFT results are presented at 0
234 GPa and at an applied pressure of 4.66 or 4.30 GPa to include the pressure
235 correction. The calculated results are in much better agreement with the ex-
236 perimental data once the empirical pressure correction has been made, further
237 supporting the application of such a correction. Compared to the experimental
238 results of Isaak and Ohno (2003), the DFT calculations generally overestimate
239 the stiffness of diopside (the exceptions are C_{44} , where the DFT result lies be-
240 tween the result of Isaak and Ohno (2003) and Levien et al. (1979), C_{25} and

241 C_{46} , where the pressure derivative is negative). The overestimate is generally
242 less than 10 GPa and of a similar in magnitude to the differences between the
243 experimental results of Isaak and Ohno (2003) and Levien et al. (1979). How-
244 ever, C_{12} , C_{13} , C_{35} and, particularly, C_{11} are more dramatically overestimated
245 with deviations from the results of Isaak and Ohno (2003) of between 10 and
246 16 GPa. For jadeite, almost all the elastic constants calculated using DFT
247 are within error of the experimental results of Kandelin and Weidner (1988)
248 with the three exceptions being C_{11} , C_{55} and C_{35} where DFT overestimates
249 the elastic constants by 9.4, 6.4 and 13.5 GPa, respectively. For comparison,
250 0 GPa elastic constants of both minerals calculated using the local density
251 approximation (LDA) are also presented. As expected, these are generally
252 stiffer than the experimental values and the LDA and GGA tend to bracket
253 the observed elasticity. Robust conclusions regarding the value of LDA and
254 GGA estimates of the elastic constants of low symmetry minerals are hard
255 to make from this limited dataset. However, the case of jadeite is interesting:
256 here the uncorrected LDA and pressure corrected GGA calculations give very
257 good estimates of the isotropic (see below) bulk modulus. However, while the
258 shear modulus is well reproduced by the GGA calculations, it is overestimated
259 by the LDA calculations. This cannot be corrected by changing the pressure
260 without detriment to the estimate of the bulk modulus.

261 A starting point for the analysis of how clinopyroxenes would affect seismic
262 velocities is to consider the elastic properties of polycrystalline samples where
263 the individual crystals are randomly oriented. Such a composite is elastically
264 isotropic and can be described by two elastic parameters, e.g. the effective
265 bulk, K , and shear, G , moduli. There are multiple methods for finding the
266 two moduli which make use of different assumptions for the distribution of

267 stress and strain in the polycrystalline sample. The upper Voigt bound (K^v
 268 and G^v) is found by assuming the strain is uniform throughout the aggregate
 269 while the lower Reuss bound (K^r and G^r) assumes the stress is uniform; the
 270 arithmetic mean (the Voigt-Reuss-Hill average, K^{vrh} and G^{vrh}) of these two
 271 limits is often a good approximation of the physically realised situation (Hill,
 272 1952; Chung and Buessem, 1967). Values for the averaged moduli are given
 273 in Tables 1 and 2 where the agreement between the experimental and DFT
 274 averages are acceptable but the overestimate of C_{11} in diopside results in a
 275 $\sim 10\%$ overestimate of its bulk modulus.

276 The evolution of the calculated elastic constants of diopside with pressure are
 277 reported in Figure 2 and in tabular form in the Supplementary Information.
 278 It is apparent that several of the shear moduli (C_{15} , C_{25} , C_{35} and C_{46}) have
 279 negative pressure derivatives. Indeed C_{25} and C_{46} become negative by 20 GPa
 280 and C_{15} approaches zero. It is worth noting that the modelled crystal remains
 281 elastically stable at all pressures (C_{ij} is positive definite, even if C_{15} becomes
 282 negative, see Born and Huang, 1954, page 141). The effect of pressure on
 283 the polycrystalline average bulk and shear moduli can be compared with the
 284 recent experimental results of Li and Neuville (2010). Taking the difference
 285 between the DFT results at 0 GPa and 5 GPa yields values of 4.7 and 1.2 for
 286 $(\partial K/\partial P)_T$ and $(\partial G/\partial P)_T$, respectively. These values are in good agreement
 287 with those of Li and Neuville (2010), who find 4.9 and 1.6, respectively. The
 288 equivalent data for jadeite is also reported in Figure 2. The elastic constants
 289 show the same softening of C_{15} and C_{46} with increasing pressure but in contrast
 290 to diopside C_{25} stiffens while C_{35} stiffens to a maximum at around 10 GPa
 291 before beginning to soften.

292 Combining the elastic constants with the density calculated from the ather-

293 mal equation of state allows the phase velocities of the three seismic waves
 294 to be calculated as a function of propagation direction by finding solutions
 295 to the Christoffel equation (e.g. Mainprice, 2007). In the current work, this
 296 is performed using a Matlab program based on the algorithm presented by
 297 Mainprice (1990). For each direction, the three velocities are assigned to the
 298 fast, V_{s1} , and slow, V_{s2} , quasi-S waves and a quasi-P wave, V_p . These wave
 299 velocities are summarised in Figure 3 for diopside and Figure 4 for jadeite
 300 where pole figures describe the distribution of P-wave velocities, the S-wave
 301 anisotropy, $aV_s = (V_{s1} - V_{s2})/\frac{1}{2}(V_{s1} + V_{s2})$, and the fast S-wave polarisation
 302 direction as a function of propagation direction. It can be seen that the P-
 303 wave anisotropy and maximum S-wave anisotropy of diopside is larger than
 304 that of jadeite at low pressure, with the anisotropies becoming more similar
 305 by 20 GPa. The pattern of anisotropy for the two minerals is, however, quite
 306 different at all pressures. Diopside possesses a distinct aV_s minimum in the
 307 plane containing the a and c lattice vectors, which is not expressed by jadeite.

308 4 Discussion

309 By any measure the clinopyroxenes are the most elastically anisotropic of
 310 the upper mantle minerals. Two ways of describing the total anisotropy of a
 311 low symmetry crystal are the general anisotropy index ($A^* = V_{s(max)}^2/V_{s(min)}^2$),
 312 where the maximum and minimum phase velocities are found from a search
 313 over all propagation directions, (Ledbetter and Miglione, 2006) and the universal
 314 anisotropy index (A^U , derived from the Voigt and Reuss estimates of the
 315 isotropic average bulk and shear moduli, Ranganathan and Ostoja-Starzewski,
 316 2008). By both measures diopside ($A^* = 1.91$, $A^U = 0.495$) and jadeite ($A^* =$

317 1.86, $A^U = 0.334$) are more anisotropic than enstatite ($A^* = 1.31$, $A^U =$
318 0.074, Weidner et al., 1978) or olivine ($A^* = 1.56$, $A^U = 0.23$, Abramson
319 et al., 1997). A more geophysically relevant measure is the maximum value
320 of aV_s found by searching over all wave propagation directions for the two
321 minerals. For diopside the maximum value of aV_s at 0 GPa is 29% (Figure 3)
322 while for jadeite it is 20% (Figure 4); both values are considerably higher than
323 those of olivine and enstatite which are 18% and 11%, respectively.

324 The DFT calculations reveal that, in common with the effect of temperature,
325 the effect of pressure on the elastic constants tensor of diopside and jadeite is
326 rather small. For diopside all measures of total anisotropy decrease slowly from
327 0 to 20 GPa where $A^* = 1.74$, $A^U = 0.312$ (values at other pressures are given
328 in the Supplementary Information) and the maximum value of aV_s is 25%.
329 This is in dramatic contrast to the linear extrapolation of the data of Matsui
330 and Busing (1984) which results in an increase in the anisotropy. By 20 GPa
331 such an extrapolation yields very high anisotropy for diopside with $A^* = 2.72$,
332 $A^U = 1.72$ and a maximum value for aV_s of 43%. Jadeite behaves differently to
333 diopside. The anisotropy of jadeite slowly increases to a maximum at around
334 10 GPa before beginning to decrease.

335 The high elastic anisotropy of diopside will have an effect on the bulk anisotropy
336 of the deforming upper mantle. Without a detailed understanding of the active
337 slip systems and deformation mechanisms of diopside, and a realistic model
338 of inter-grain interactions the details are hard to predict. However, it is worth
339 noting that the inclusion of enstatite in a simple two dimensional model of
340 anisotropy generation around a mid-ocean ridge resulted in significant changes
341 to the predicted bulk anisotropy (Kaminski et al., 2004). A useful illustration
342 of the kind of effect clinopyroxenes may have of the seismic anisotropy is pro-

343 vided by considering a shear wave passing through the oceanic crust forming
344 the upper layer of a subducting slab. Between depths of about 150 and 400
345 km, the basaltic layer consists of eclogite, a mixture of clinopyroxene and gar-
346 net (Irifune et al., 1986; Irifune and Ringwood, 1993). A first approximation
347 (and upper bound) of the magnitude of the shear wave splitting caused by this
348 layer can be found by assuming the crust consists of a 5 - 10 km thick layer
349 of diopside completely aligned by deformation. Furthermore, if the crystals
350 are assumed to align with (010) parallel to the slab and the wave is assumed
351 to propagate in the direction perpendicular to the slab, it is possible to cal-
352 culate the expected shear wave splitting due to the diopside rich layer. In
353 such a model the shear wave splitting increases dramatically with increasing
354 depth (Table 3) with 0.2 seconds of delay being possible. Such splitting is not
355 insignificant. S-wave splitting from local events around northeastern Japan
356 (Nakajima and Hasegawa, 2004), the New Zealand (Morley et al., 2006) and
357 the Java-Sumatra subduction zones (Hammond et al., 2010) are typically up
358 to 0.5 seconds. Two effects that are excluded from this simple analysis are the
359 increasing garnet component with depth and the corresponding increase in
360 the jadeitic component of the clinopyroxene. Both of these effects will dimin-
361 ish the shear wave splitting. On the other hand, geometrical effects can only
362 increase the apparent thickness of the crustal layer and thus act to increase
363 the observed splitting. Perhaps the most interesting feature of this result is
364 that the shear anisotropy in the [010] direction doubles between 5 and 15 GPa
365 while all estimates of the anisotropy of the elastic constants tensor as a whole
366 show a small decrease in anisotropy. Changes in shear wave splitting in the
367 [010] direction are driven by a distortion of the distribution of fast and slow
368 shear wave velocities rather than a major change in their relative magnitudes.

369 5 Conclusions

370 Because of the low crystal symmetry the effect of pressure on the individual
371 elastic constants of jadeite and diopside is difficult to determine experimen-
372 tally. For diopside, previous results based on interatomic potentials fitted to
373 room pressure elastic constants (Matsui and Busing, 1984) give an adequate
374 description at low pressures but become very anisotropic at transition zone
375 pressures. In this work elastic constants have been calculated using an elec-
376 tronic structure based approach which does not require experimental input
377 beyond an approximate initial crystal structure. For both minerals, the elastic
378 constants at 0 GPa are in good agreement with values derived from experi-
379 ment (with the notable exception of C_{11} , which is significantly overestimated).
380 Extending the calculations to 20 GPa shows that the anisotropy decreases
381 slightly with pressure. Despite this decrease in total anisotropy, the expected
382 shear wave splitting for waves propagating perpendicular to the (010) plane
383 increases rapidly with pressure. The effect of the inclusion of clinopyroxenes in
384 models of seismic anisotropy of the upper mantle is thus likely to be more com-
385 plex than anticipated from straightforward estimates of the total anisotropy
386 of these minerals.

387 6 Acknowledgments

388 This research was supported by a NERC Postdoctoral Research Fellowship
389 (NE/E012922/2) and made use of the facilities of HECToR, the UK's national
390 high-performance computing service, which is provided by UoE HPCx Ltd at
391 the University of Edinburgh, Cray Inc and NAG Ltd, and funded by the

392 Office of Science and Technology through EPSRC's High End Computing
393 Programme.

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Table 1

Elastic constants (in GPa) of diopside from GGA calculations at 0 GPa and 4.66 GPa compared with experimental data. ^adata for $\text{Di}_{93}\text{Hd}_9\text{Jd}_3\text{Cr}_3\text{Ts}_{12}$ from resonant ultrasound spectroscopy results of Isaak and Ohno (2003). ^bdata for $\text{Ca}_{0.99}\text{Na}_{0.02}\text{Mg}_{0.9}\text{Al}_{0.01}\text{Fe}_{0.02}\text{Si}_{1.99}\text{O}_6$ from Brillouin scattering results of Levien et al. (1979).

	Chrome-diopside ^a	diopside ^b	LDA 0 GPa	GGA 0 GPa	GGA 4.66 GPa
C_{11}	228.1±1.0	223±2	242.6±1.4	212.3±1.1	243.7±1.4
C_{22}	181.1±0.6	171±2	183.7±1.2	158.0±1.1	184.1±1.2
C_{33}	245.4±1.3	235±2	254.3±2.5	226.3±1.5	251.0±1.8
C_{44}	78.9±0.3	74±1	79.8±0.3	65.3±0.6	76.9±0.4
C_{55}	68.2±0.2	67±1	73.8±1.1	61.9±0.1	69.3±0.1
C_{66}	78.1±0.2	66±2	84.5±0.9	69.3±0.5	80.6±0.7
C_{12}	78.8±0.5	77±3	90.5±0.8	69.8±0.7	91.2±0.7
C_{13}	70.2±0.7	81±2	78.8±1.1	60.6±0.9	80.5±1.1
C_{23}	61.1±0.7	57±2	62.5±0.7	50.7±0.4	68.0±0.7
C_{15}	7.9±0.5	17±1	9.3±0.6	15.4±0.3	9.1±0.3
C_{25}	5.9±0.5	7±2	5.4±0.6	10.0±0.1	4.5±0.1
C_{35}	39.7±0.4	43±1	51.8±0.4	54.9±0.3	50.9±0.1
C_{46}	6.4±0.2	7.3±0.9	3.6±0.4	10.8±0.4	3.9±0.2
K^{vrh}	116.5±0.9	113±1	122.6±0.6	99.8±0.4	124.3±0.6
G^{vrh}	72.8±0.4	67±0.6	74.6±0.4	63.5±0.2	71.7±0.2

Table 2

Elastic constants (in GPa) of jadeite compared with experimental data from Brillouin spectroscopy of Kandelin and Weidner Kandelin and Weidner (1988).

	Kandelin and Weidner (1988)	LDA 0GPa	GGA 0 GPa	GGA 4.30 GPa
C_{11}	274±4	285.4±2.3	243.6±2.5	283.4±2.6
C_{22}	253±4	262.3±1.8	228.1±1.7	252.6±1.7
C_{33}	282±3	290.9±2.1	266.2±2.4	281.8±0.9
C_{44}	88±2	91.4±0.7	79.5±0.4	86.7±0.2
C_{55}	65±4	74.3±0.4	64.6±0.4	71.4±0.1
C_{66}	94±2	102.1±1.3	85.7±1.2	96.5±1.4
C_{12}	94±2	94.4±1.0	77.4±0.9	93.3±0.8
C_{13}	71±8	68.1±1.2	56.1±1.0	72.5±1.2
C_{23}	82±4	79.8±0.3	73.5±0.3	81.8±0.9
C_{15}	4±3	5.0±0.5	11.7±0.9	4.4±0.4
C_{25}	14±4	14.6±0.3	14.0±0.2	14.0±0.1
C_{35}	28±3	43.0±0.9	37.8±0.6	41.5±0.6
C_{46}	13±1	12.2±0.1	14.5±0.3	11.9±1.4
K^{vrh}	143±2	143.9±0.6	124.5±0.6	142.8±0.7
G^{vrh}	85±2	90.7±0.4	78.9±0.3	86.5±0.3

Table 3

Simple model of shear-wave splitting for eclogite layer on subducting slab. δt is the calculated delay time between the fast and slow shear-wave arrival. See text for details.

Pressure (GPa)	$V_{s1}^{[010]}$ (km/s)	$V_{s2}^{[010]}$ (km/s)	$aV_s^{[010]}$ (%)	δt (5 km) (s)	δt (10 km) (s)
5	5.21	4.88	6.5	0.06	0.13
10	5.42	4.83	11.5	0.11	0.23
15	5.54	4.90	12.3	0.12	0.24
20	5.59	4.62	19.0	0.19	0.38

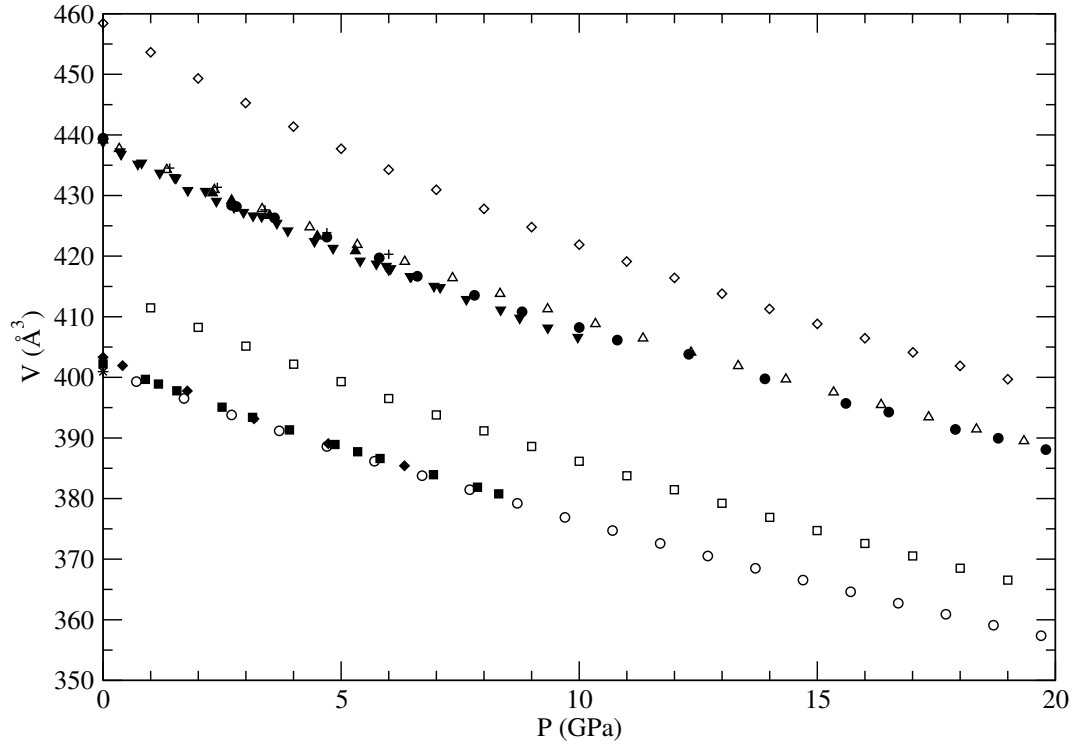


Fig. 1. Unit cell volume of jadeite and diopside as a function of pressure from these calculations (open symbols; squares: uncorrected jadeite, circles: jadeite with pressure correction, diamonds: uncorrected diopside, triangles: diopside with pressure correction) and experiments. Experimental results are shown with filled symbols: squares, diamonds and star: jadeite (Nestola et al., 2006; Zhao et al., 1997; Knight and Price, 2008, respectively), circles, upward pointing triangles, downward pointing triangles and crosses: diopside (Tribaudino et al., 2000; Levien and Prewitt, 1981; Zhang et al., 1997; McCormick et al., 1989, respectively).

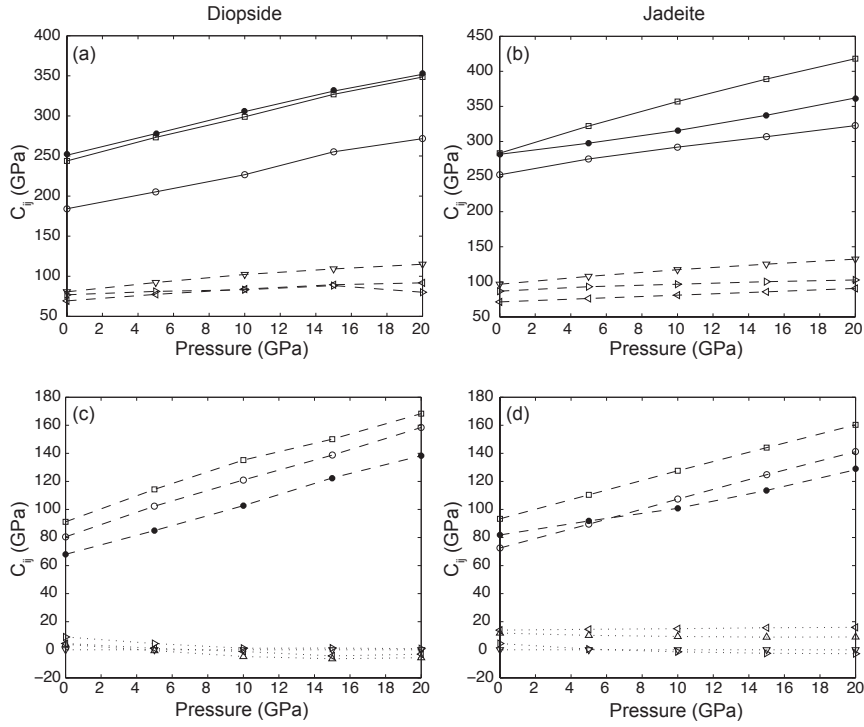


Fig. 2. Evolution of the elastic constants of diopside, (a) and (c), and jadeite, (b) and (d), as a function of pressure. Pressure is corrected for the under binding of the GGA. Parts (a) and (b) show the longitudinal (solid lines – squares: C_{11} , unfilled circles: C_{22} , filled circles: C_{33} and shear (dashed lines – right pointing triangle: C_{44} , left pointing triangle: C_{55} , downwards pointing triangle: C_{66}) constants. Parts (c) and (d) show the off diagonal constants (dashed lines – squares: C_{12} , unfilled circles: C_{13} , filled circles: C_{23} ; dotted lines – right pointing triangle: C_{15} , left pointing triangle: C_{25} , downwards pointing triangle: C_{65} , upwards pointing triangle: C_{43}). Calculated errors on the elastic constants are smaller than the symbol size. Numerical values are given in the Supplementary Information.

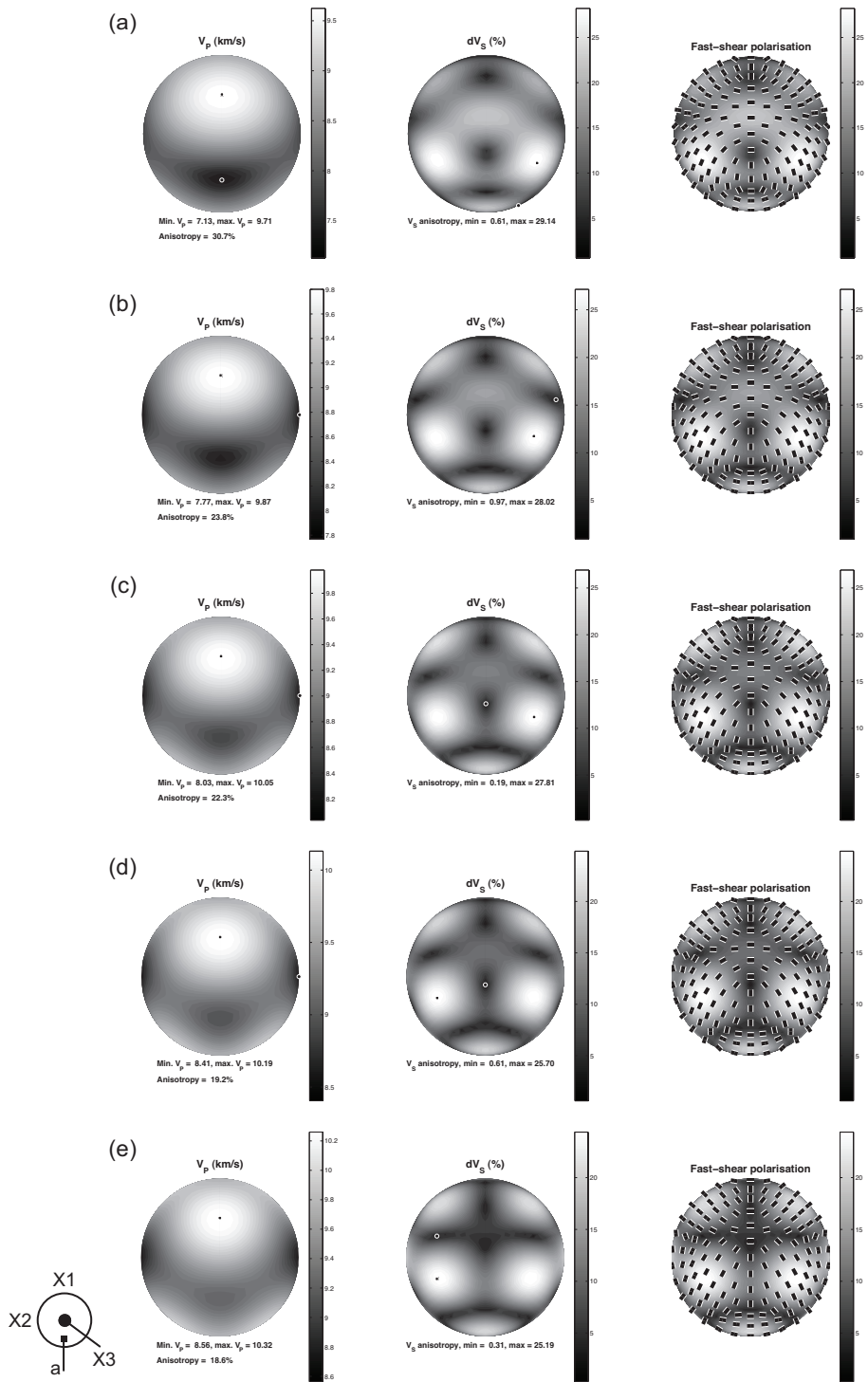


Fig. 3. Wave velocities and anisotropy in diopside at 0 GPa (a), 5 GPa (b), 10 GPa (c), 15 GPa (d) and 20 GPa (e). Upper hemisphere pole figures with cartesian axis system and approximate location of crystallographic a axis shown in the inset (b is parallel to X2 and c is parallel to X3).

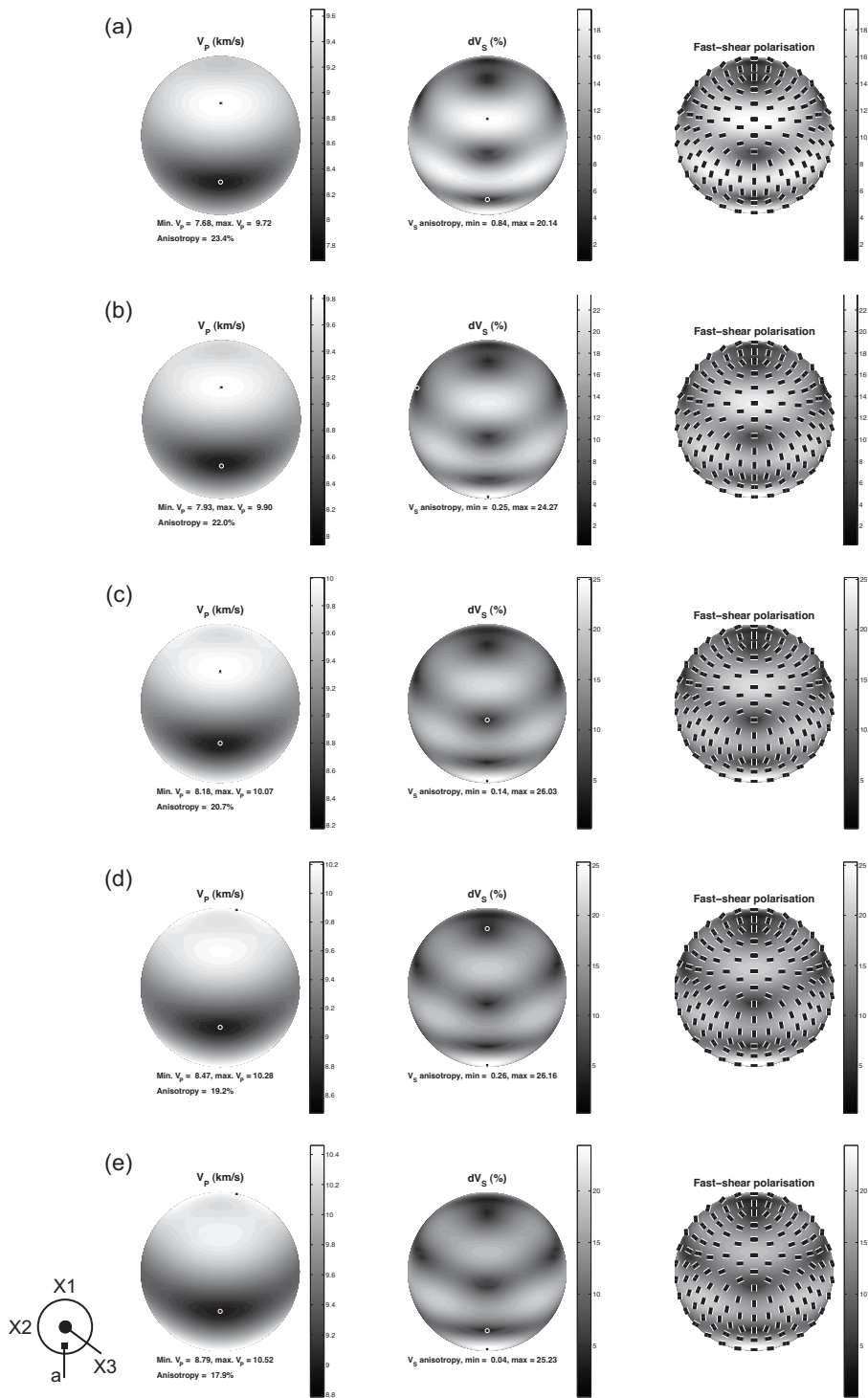


Fig. 4. Wave velocities and anisotropy in jadeite at 0 GPa (a), 5 GPa (b), 10 GPa (c), 15 GPa (d) and 20 GPa (e). Upper hemisphere pole figures with cartesian axis system and approximate location of crystallographic a axis shown in the inset (b is parallel to X2 and c is parallel to X3).